

Pretreatment Levels of Chromogranin A and Neuron-specific Enolase in Patients With Gastroenteropancreatic Neuroendocrine Neoplasia

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Abstract. *Background/Aim:* Chromogranin A (CgA) and neuron-specific enolase (NSE) are applied in the diagnosis of neuroendocrine neoplasms (NENs), especially non-functional ones. The aim of this study was to investigate the predictive values of CgA and NSE in long-term survival. *Patients and Methods:* Our retrospective analysis included 65 patients with histologically verified gastroenteropancreatic NEN between 2005 and 2019. We performed bivariate and multivariable analyses to evaluate the relationship between CgA and NSE values before histological assessment and overall survival. Distribution of time-to-event was analyzed using Kaplan-Meier survival curves and modelled by Cox regression models. *Results:* Elevated NSE levels prior to histology were significantly associated with worse survival ($HR=1.13$, $p=0.004$) and were associated with low-differentiated NENs ($r_s=0.321$, $p=0.0338$). CgA was associated with well-differentiated tumors ($r_s=0.233$), but not significantly. *Conclusion:* Pretreatment serum levels of NSE can serve as

a valuable additional predictor of long-term survival in patients with NEN.

Neuroendocrine neoplasms (NEN) derived from neuroendocrine (NE) cells form a heterogeneous group of neoplasms with a wide range of morphological, functional and biological properties. NENs can essentially occur in all body organs, even in those where NE cells are not usually present. The largest group of NENs are gastroenteropancreatic NENs (GEP-NENs), which account for 70% of all NEN cases. They are followed by bronchopulmonary (respiratory) NENs (25%). The remaining 5% consists of NENs of other organ systems such as thymic, mediastinal or urogenital NENs (1).

Based on the production of a specific endocrine product, NENs can be considered as functional or non-functional. Functional NENs/NETs form bioactive mediators capable of inducing specific clinical syndromes. Non-functional NENs either do not form bioactive products or produce them but do not subsequently excrete them, or they form products that do not cause a specific clinical syndrome (*e.g.*, pancreatic polypeptide). In the case of pancreatic NENs, up to 30% are formed by functional neoplasms. Other gastrointestinal NENs are functional in 3-13% of cases (2). Both functional and non-functional NETs can produce and secrete into the circulation proteins specific for cells with NE differentiation. Some of them are used as tumor markers aiding in diagnosis and monitoring and acting as prognostic markers. The most widely used non-specific markers of NENs are chromogranin A (CgA) and neuron-specific enolase (NSE).

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Chromogranin A (CgA) is a hydrophilic, acidic glycoprotein present in large secretory vesicles of NE cells. Its polypeptide chain consists of 439 amino acids (49 kD) (3). CgA is secreted into the circulation together with monoamines and peptides. Circulating CgA is a universal marker of both functional and non-functional NENs. CgA can be determined in both serum and plasma, whereas plasma values are slightly higher. Fasting and rest are necessary before specimen collection, as food intake or exercise increases CgA levels. Proton pump inhibitors (PPIs) should be discontinued for 7 days, if the patient clinical condition allows it, and should be replaced with H2-blockers which should be discontinued 24 h before blood collection (4). Since somatostatin analogues block the production and secretion of CgA, it is recommended to take blood samples at the same time interval after administration of the analogue (4).

Several oncological and non-oncological diseases increase CgA levels, which can lead to false-positivity with respect to NEN. Because hypergastrinemia causes hyperplasia of CgA-producing enterochromaffin-like cells, all conditions associated with hypergastrinemia are also associated with CgA elevation (5). Actually, all gastrinomas have elevated CgA even in the absence of metastases. Overall, 30-50% of NENs have CgA values within the reference ranges. CgA sensitivity is acceptable for secretory active, functional and advanced NENs (50-70%). Not only basal levels of CgA are clinically relevant, but also their changes over time. According to Chou *et al.* (2012, 2014), CgA levels are associated with treatment response in patients with GEP-NENs (6, 7). CgA is usually positive in the presence of liver metastases, but in this indication it is rather an additional diagnostic modality to more specific markers, imaging tests and biopsies (8). Sensitivity is insufficient for localized non-functional tumors that have normal CgA levels in approximately 70% of cases (9). Aggressive, low-differentiated carcinomas with lower number of secretory vesicles may also be false-negative (4).

It is assumed that the main clinical utility of CgA is determination of patient prognosis and stratification. The RADIANT-2 study, which examined the efficacy of combined therapy with everolimus and long-acting release octreotide in patients with advanced NENs, confirmed that low levels of CgA are a positive prognostic marker of overall survival regardless of the type of administered treatment (HR=0.31, 95% CI=0.23-0.42, $p<0.001$) (10). The CLARINET study with lanreotide confirmed that a decrease in CgA levels is associated with reduced risk of disease progression (11).

Neuron-specific enolase (NSE) is a cell-specific isoenzyme of the glycolysis and gluconeogenesis enzyme. Expression of NSE is a late step in neural differentiation characteristic of neurons and NE cells (12). Because NSE is expressed in erythrocytes, hemolytic serum causes false

positivity. NSE levels are not dependent on tumor secretory activity. Elevation of NSE may occur during treatment due to increased cell turnover and release of NSE into the circulation. According to the study of Baudin, the sensitivity of NSE for GEP-NENs was 39%. In contrast to CgA, NSE was associated with low-differentiated NENs (13).

The primary objective of this study was, therefore, to investigate the predictive value of pretreatment levels of CgA and NSE for NEN detection prior to histology. A secondary aim was to explore their association with long-term outcomes.

Patients and Methods

Clinical data of patients were obtained from the registry of the Oncological Institute of St. Elizabeth in Bratislava. Inclusion criteria were: histologically verified GEP-NEN with disease grading based on WHO classification. The 2010 classification was used for gastrointestinal NENs (14) and the 2017 classification for pancreatic NENs (15). Specimen sent for histologization was collected as a part of an endoscopic examination, radical or palliative resection, or metastasectomy. Levels of CgA and NSE were examined using standard laboratory protocols in samples taken from patients a day to four weeks prior to histology, between 2005 and 2019. However, CgA and NSE levels were not available in all patients. In addition, other information was included such as tumor grading, age at the time of histology, and patient sex.

Using descriptive statistics, we evaluated the relative proportions of tumor sites within GEP-NENs. Bivariate analyzes evaluated the statistical association of gender, age, CgA, NSE, and grading with overall patient survival. Subsequently, we evaluated the influence of individual variables on overall survival by multivariate analysis – Cox proportional hazards model. We used Kaplan-Meier survival curves to assess the survival of patients in individual groups divided by CgA, NSE and grading. All listed probability values (p -value) are two-tailed. The value of statistical significance was chosen at the level of $p<0.05$. We used Microsoft Excel 2013, a statistical Excel Add-in BESH, Stat version 0.09 (<http://beshstat.eu>), and IBM SPSS.

Results

A total of 65 patients, 30 men (46.2%) and 35 women (53.8%) were enrolled in the study. The most common localizations of NENs were small intestine (34%) and pancreas (30%). They were followed by histological examination of metastases (11%), colorectum (11%), stomach (8%), ampullary region and papilla of Vater (5%), ileocecal region (1%).

Bivariate analysis. Of the total number of 65 patients, CgA was examined in 49 (75.4%) and NSE in 44 (67.7%) patients. Both markers were determined in 39 patients (60.0%). Demographic data such as gender and age are summarized in Table I, along with grading and the results of bivariate analyses. We verified the individual correlations between variables: CgA, NSE, age (continuous variables),

Table I. Clinical and laboratory characteristics of 65 patients with NEN treated between 2005 and 2019 – grouped by primary outcome.

Patient characteristics		Total	Dead	Survived	<i>p</i> -Value
Number		n=65 (100%)	n=19 (100%)	n=46 (100%)	
Age (years)	Mean±SD	58.1±11.19	60.8±10.73	56.9±11.29	0.2788
Gender	Male	30 (46.2%)	9 (47.4%)	21 (45.7%)	0.8995
	Female	35 (53.8%)	10 (52.6%)	25 (54.3%)	
Grading	Grade 1	36 (55.4%)	8 (42.1%)	28 (60.9%)	0.0086
	Grade 2	18 (27.7%)	3 (15.8%)	15 (32.6%)	
	Grade 3	11 (16.9%)	8 (42.1%)	3 (6.5%)	

Patient characteristics		Total	Dead	Survived	<i>p</i> -Value
Number		n=49 (75.4%)	n=16 (84.2%)	n=33 (71.7%)	
CgA (ng/ml)	≥102	25 (51.0%)	11 (68.8%)	14 (42.4%)	0.0839
	<102	24 (49.0%)	5 (31.3%)	19 (57.6%)	

Patient characteristics		Total	Dead	Survived	<i>p</i> -Value
Number		n=44 (67.7%)	n=13 (68.4%)	n=31 (67.4%)	
NSE (ng/ml)	≥12.5	19 (43.2%)	10 (76.9%)	9 (29.0%)	0.0034
	<12.5	25 (56.8%)	3 (23.1%)	22 (71.0%)	

NEN: Neuroendocrine neoplasia; n: number; CgA: chromogranin A; NSE: neuron-specific enolase. Bold values represent statistical significance.

grading (ordinal) and expressed them with a nonparametric correlation coefficient (Spearman's r_s) as shown in Table II.

A lower age was associated with a higher tumor grading, the correlation was statistically significant, but the relationship was weak. A significant, moderate relationship between NSE levels and grading was confirmed ($r_s=0.321$), NSE elevation was associated with low-differentiated NENs. In contrast, elevated CgA associates with well-differentiated tumors ($r_s=-0.233$), but this relationship was weak and statistically not significant. The coefficient Eta (η) expressing the relationship between gender and continuous variables CgA, NSE and age was $\eta=0.012$, 0.328 , and 0.047 , respectively. Thus, a moderately significant relationship between gender and NSE was confirmed (the other two relationships are negligible), which we further analyzed in a multivariate analysis. The contingency coefficient ($V_c=0.171$) between patient sex and tumor grading indicates only a negligible and statistically insignificant relationship.

Using the Pearson's Chi-square test, we verified the relationship between the overall patient survival and CgA, NSE, age, respectively. CgA and NSE were dichotomized according to reference values (CgA: 102 ng/ml, NSE: 12.5 ng/ml) and processed as nominal variables. Elevated levels of NSE are statistically significantly associated with worse survival ($p=0.0034$). Regarding CgA elevation, the association was approaching the level of significance ($p=0.0839$). The Cochran-Armitage's test confirmed the statistical relationship between patient survival and tumor grading ($p=0.0086$). The results of the bivariate analyzes expressed by the p -values are summarized in Table I.

Table II. Correlations between variables: CgA, NSE, age (continuous) and grading (ordinal).

		Age	CgA	NSE	Grade
Age	Rho (r_s)	1.000			
	<i>p</i> -Value	N/A			
	n	65			
CgA	Rho (r_s)	0.198	1.000		
	<i>p</i> -Value	0.173	N/A		
	n	49	49		
NSE	Rho (r_s)	-0.036	0.198	1.000	
	<i>p</i> -Value	0.815	0.226	N/A	
	n	44	39	44	
Grade	Rho (r_s)	-0.277	-0.233	0.321	1.000
	<i>p</i> -Value	0.025	0.107	0.033	N/A
	n	65	49	44	65

n: Number; CgA: chromogranin A; NSE: neuron-specific enolase; Rho (r_s): Spearman's rank correlation coefficient; N/A: not applicable. Bold values represent statistical significance.

Multivariable analysis. We used a standard Cox proportional hazards models to test the effect of the levels of tumor markers CgA and NSE (as continuous variables), age, sex, and grading on the long-term survival of patients with GEP-NEN. According to the results of Kaplan-Meier analyses we decided to merge G1 and G2 group with similar survival curves. This decision is also supported by the findings of Klöppel (1). In the full model adjusted for age and sex, the variable CgA was not found statistically

Table III. Multivariable Cox regression analysis of factors associated with overall survival in patients with NEN.

Variable	b	p-Value	HR	95% CI
Gender				
Female	Reference		1	
Male	1.1189	0.1655	3.061	0.630 – 14.881
Age at histologization (years)	0.0384	0.2731	1.039	0.970 – 1.113
NSE (ng/ml)	0.1193	0.0044	1.127	1.038 – 1.223
Grade				
Grade 1 or 2	Reference		1	
Grade 3	2.0487	0.0262	7.758	1.274 – 47.247

The likelihood χ^2 test statistic was 23.9 and corresponding $p < 0.001$. NEN: Neuroendocrine neoplasia; P: probability; b: regression coefficients; HR: hazard ratio; 95% CI: 95% confidence interval; CgA: chromogranin A; NSE: neuron-specific enolase. Bold values represent statistical significance.

significant, thus, it did not make an additional contribution to the risk of death over and above that of other variables (data not shown).

The variables NSE and grading, that were identified as statistically significant by bivariate analyzes, remained significant also in the multiple regression analysis. The final model built from four variables: age, sex, NSE and grading was statistically significant; the value of test statistic χ^2 reached the value 23.9 and the respective $p < 0.001$. The regression coefficient results of the Cox model and computed HRs adjusted for the other predictors are summarized in Table III.

Survival analysis. To visualize the association of survival with CgA, NSE (as nominal variables), or grading (ordinal variable), we performed a Kaplan-Meier analysis. Visually more pronounced differences between the curves for NSE versus CgA confirm the greater importance of NSE elevation in the overall survival of patients (Figure 1, upper and middle part). By evaluating the survival curves for the grading stages, it can be stated that patients with G3 tumors had the worst survival. Survival of patients with G1 and G2 tumors was comparable, paradoxically patients with G2 tumors survived best. By the 53rd month, patients with G1 tumors had better survival compared with the G2 group. Subsequently, the survival curves of the G1 and G2 groups cross, and more favorable survival of G2 group is achieved until the end of follow-up (Figure 1, lower part).

Discussion

NENs form a heterogeneous group of malignancies that arise from neuroendocrine cells throughout the body. They most commonly occur in gastrointestinal and respiratory tract, but

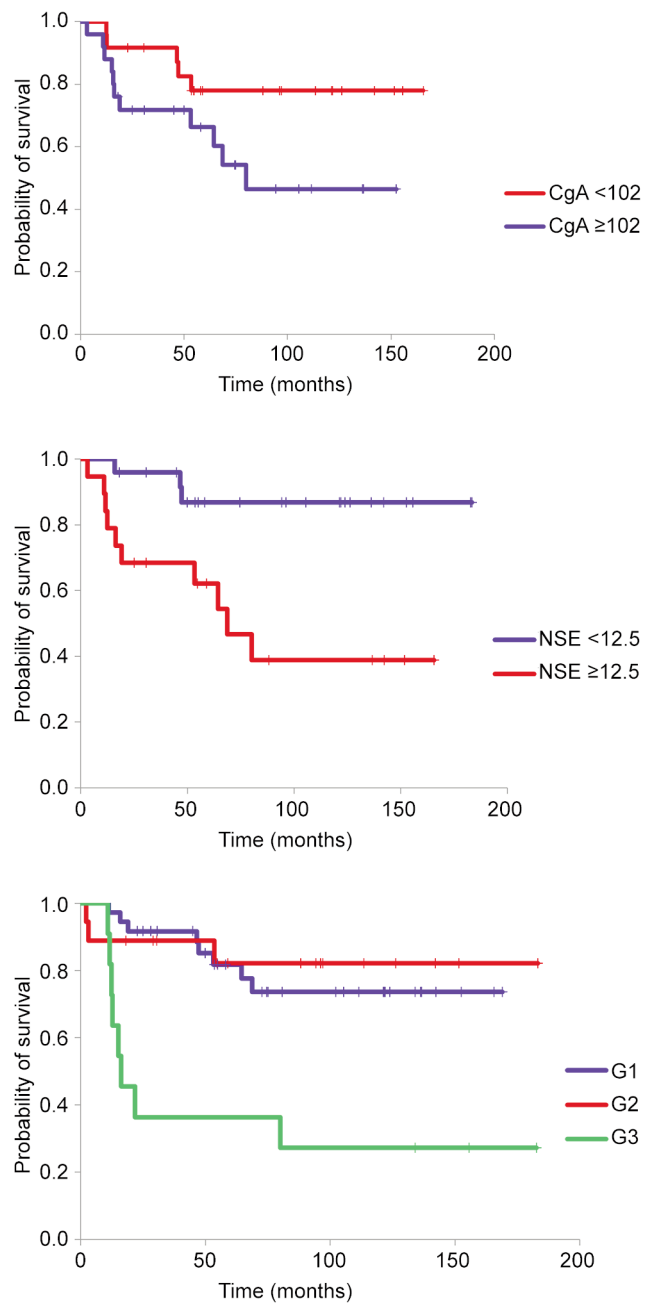


Figure 1. Kaplan-Meier curves for overall survival in patients with NEN grouped by level of CgA (upper part, levels of 102 ng/ml or above were considered elevated, overall log rank test: $p=0.070$), NSE (middle part, levels of 12.5 ng/ml or above were considered elevated, overall log rank test: $p=0.003$), and tumor grading (lower part, overall log rank test: $p=0.001$).

may also develop in other organs (e.g., endocrine glands, mediastinum, and skin). These tumors differ significantly in their malignant potential. The unifying element of this group is the evidence of NE differentiation. Functional NENs

synthesize specific bioactive mediators such as serotonin, histamine, insulin, gastrin and many others, which causes a faster clinical manifestation and thus faster and more specific laboratory diagnostics. Non-functional NENs clinically manifest by local symptoms caused by tumor growth and dissemination, which significantly delays the diagnosis. Late diagnosis is undoubtedly one of the major causes of high mortality in non-functional low-differentiated NE carcinomas.

The most commonly used non-specific markers of NENs are CgA and NSE, whereas most data on their sensitivity and specificity are from retrospective studies. CgA sensitivity differs significantly between studies (24-88%) (9). The diversity of results is mainly caused by different tumor locations, the extent of disease and differences in immunochemical analyses. An exception to the retrospective studies on CgA are the prospective RADIANT-2 and CLARINET studies. The RADIANT-2 study confirmed that low levels of circulating CgA were a statistically independent predictor of long-term survival, and the CLARINET study confirmed that decrease in CgA levels are associated with a lower risk of disease progression (10, 11). ENETS and NCCN guidelines recommend measuring CgA levels, the use of NSE is not mentioned in the current guidelines (16, 17). In general, NSE is considered to be a less useful marker of NENs than CgA (13).

In our group of patients with GEP-NENs, NSE appears to be a more suitable marker of long-term survival. Statistical significance was confirmed not only in the bivariate but also in the multivariate analysis (Tables I and II). Consistent with published studies (13), NSE is associated with worse grading ($r_s=0.3213$, $p=0.0338$). In contrast, CgA is associated with well-differentiated tumors ($r_s=-0.2331$), but not statistically significant. As mentioned in the study by Klöppel *et al.* (2017) (1), NENs of different localizations can be dichotomously divided into well-differentiated (G1 and G2) and low-differentiated neoplasms (G3) (1). The results of the Kaplan-Meier survival analysis stratified by grading showed that the curves for G1 and G2 groups significantly overlapped (Figure 1, lower part). Overall, in comparison with patients having well-differentiated NEN survival was reduced for patients with low-differentiated NEN ($p=0.001$), which is consistent with previously published literature (18, 19). The G3 group yielded significantly worse survival also in the multivariable analysis after adjusting for age, sex and NSE levels ($HR=7.76$, $p=0.026$).

As early diagnosis of low-differentiated tumors, often with lower secretory vesicles, is crucial, NSE appears to be a more appropriate marker for malignant NENs and should be part of the initial biochemical examination when NEN is suspected. In our study, 51.0% and 43.2% of patients had elevated CgA and NSE levels, respectively. Of those who had both markers examined, 74.3% had at least one marker elevated. We assume that the combination of both markers

significantly increases the sensitivity of NEN detection. Due to the retrospective nature of the study and the absence of a randomization and control cohort, our conclusions need to be further verified by prospective studies.

CgA and NSE represent completely different proteins; CgA is dependent on tumor secretory activity, while the NSE is dependent on cell turnover. However, both markers represent features of neuroendocrine differentiation, which is the cornerstone of all NENs. Despite the relatively rare occurrence of NENs, any elevation in CgA and NSE levels should be closely investigated. Until modern non-invasive molecular methods such as NETest (20, 21) come into routine practice, CgA and NSE have their place in the laboratory diagnostics of NEN.

Conclusion

Pre-treatment serum NSE levels can serve as a marker of long-term survival in patients with NENs. NSE is associated with malignant forms of NEN with higher grading. In contrast, CgA is a marker of well-differentiated NENs. We assume that by combining these two markers we can achieve an increased sensitivity of NEN detection.

Conflicts of Interest

Štefan Kečkėš, Július Palaj, Iveta Waczulíková, Daniel Dyttert, Emília Mojtová, Gustáv Kováč, Štefan Durdík have no conflicts of interest or financial ties to disclose.

Authors' Contributions

Š.K., D.D. and E.M. contributed to the conception and design. G.K. and Š.D. were responsible for overall supervision, Š.K. and I.W. for statistical analysis, Š.K. and D.D. drafted the manuscript, which was revised by I.W. and Š.D. All Authors read and approved the final manuscript.

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