Survival Outcomes After High-dose Chemotherapy and Stem Cell Transplantation in the Salvage Setting for Relapsed or Refractory Germ Cell Cancers

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Abstract. Background/Aim: High-dose chemotherapy (HDCT) and stem cell transplantation (SCT) have been established as the standard of care in patients with relapsed germ cell tumours (GCTs). We evaluated the safety, efficacy and tolerability of HDCT/ SCT in patients with relapsed GCTs. Patients and Methods: Twenty-eight patients with relapsed GCTs, treated with HDCT, were included in this study. The conditioning regime was carboplatin, etoposide, cyclophosphamide and paclitaxel. Clinical, radiological imaging and tumour markers determined treatment outcomes. Results: Median age was 35 years (range=21-57 years) with 26 males and 2 females. Median time to first relapse was 6 months. Median time to progression after 2nd line chemotherapy was 17.3 months. Fourteen patients had Median survival was 62 months and 16 patients (57%) are in clinical follow-up with surveillance. Conclusion: In relapsed GCT patients, median survival may exceed 5 years post-HDCT and SCT.

Germ cell tumours (GCTs) are responsible for 1% of cancer diagnoses, affecting around 5-7/100,000 men and 0.4/100,000 women annually, typically occurring during the reproductive years. Of these, the majority will be cured with surgery with or without the addition of platinum-based

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chemotherapy. Cure rates are approximately 90-95% for patients with localised disease and around 80% for patients with metastatic disease (1). Bleomycin, etoposide and cisplatin (BEP) chemotherapy is the most widely used first-line regimen for higher risk or metastatic patients (2-4).

For the patients that do relapse or are refractory to primary treatment (20-30% of patients in the context of metastatic disease), approximately 50% will gain durable remission with salvage treatment with combination therapy using cisplatin, ifosfamide and a third agent - typically etoposide, paclitaxel or gemcitabine (5,6).

For such patients, options include treatment with conventional dose chemotherapy (CDC) or high dose chemotherapy (HDCT) and autologous stem cell transplant. Given the rarity of this cancer type as well as the low rates of relapse/refractory disease, outcomes for further lines of treatments are limited due to the small number of patients, while further stratification by risk makes the patient numbers even smaller. Therefore, comparison between strategies is challenging, more so because data are retrospective and therefore extrapolation is further limited.

Question remains over the benefit of high-dose chemotherapy against standard-dose chemotherapy with transplant support. Since the 1980s, HDCT and SCT has been investigated as a means of allowing treatment intensification and maintenance of dose density (7, 8).

Einhorn *et al.* demonstrated efficacy of HDCT in relapsed GCT, using high-dose carboplatin and etoposide with autologous stem-cell support. In their retrospective cohort of 184 patients, 63% had complete remission at a median follow-up of 48 months. Survival was seen to be lower in second or later relapse (6).

Pico *et al*. demonstrated no significant difference in 3-year event-free survival or overall survival (OS) between patients who received 4 cycles of cisplatin, ifosfamide and etoposide

Table I. Baseline characteristics.

Patient baseline characteristics		n=28
Gender	Male	26
	Female	2
Age	Median age	35
-	Age range	21-57
Histology	Seminoma	2 (8%)
	Non-seminoma	26 (92%)
IGCCCG Stage at diagnosis	Seminoma	Low
		Intermediate
	Non-seminoma	Low
		Intermediate
		High
First line chemotherapy	POMB-ACE based	15
	BEP-based	13
Time to progression	Median	6 months
after first-line treatment	Range	1-106 months

IGCCCG: International Germ Cell Cancer Collaborative Group; POMB-ACE: cisplatin, vincristine, methothrexate, bleomycin- actinomycin-d, cyclophosphamide, etoposide; BEP: bleomycin, etoposide, cisplatin.

(alternatively, vinblastine) or three cycles of this regimen and then high-dose carboplatin, etoposide and cyclophosphamide (CarboPEC) with stem cell transplantation. The authors concluded that "uncontrolled studies should not be used to justify routine use of a toxic and expensive treatment without confirmation in a randomised trial" (9).

Retrospective analysis of 1,594 patients treated with high-dose chemotherapy *vs.* standard dose in the salvage setting, however, demonstrated a progression-free survival (PFS) hazard ratio of 0.44 (95%CI=0.39-0.51) and OS of 0.65 (95%CI=0.56-0.75) indicating benefit of high-dose chemotherapy. 5-year OS was 40.8% for conventional dose chemotherapy and 53.2% for HDCT with SCT. Failure-free survival was also higher in the HDCT with SCT arm (10).

Overall, HDCT and SCT can offer cure rates of up to 60% in the relapsed GCT setting. Differing chemotherapy regimens have been used in varying settings from phase I and II studies and retrospective analyses, with a clear demonstration of curative potential, however, the optimal regimen remains undefined (11, 12).

To provide clarity on how best to treat refractory or relapsed GCT patients, the TIGER trial is currently underway. It is a phase III trial, which is prospectively comparing conventional-dose chemotherapy for first relapse using TIP (paclitaxel, ifosfamide and cisplatin) against high-dose chemotherapy, with autologous stem cell transplantation, utilising paclitaxel and ifosfamide and then high dose carboplatin and etoposide (TI-CE) (13).

We aimed to add to the published data sets our experience of HDCT treated patients, their toxicities and survival outcomes.

Table II. Second line management and survival outcomes of second line treatment

Second line	Priming chemotherapy	28
treatment	Second line chemotherapy	3 (2 POMB-ACE, 1x GEM-TIP)
	prior to priming	
Priming	GEM-TIP	26
chemotherapy regimen	Platinum-taxol	2
HDCT regimes	Carbo-PEC-Taxol	27
	Carbo-Gem	1
Type of SCT	Tandem	12
• •	Non-tandem	16

GEM-TIP: Gemcitabine-paclitaxel, ifosfamide, cisplatin; HDCT: high dose chemotherapy, SCT: stem cell transplant; PEC: paclitaxel, etoposide, cyclophosphamide.

Patients and Methods

Patients treated with HDCT and ASCT between December 1999 to December 2019 were identified retrospectively. Patients were treated at Mount Vernon Hospital, Northwood and received their ASCT at the transplantation unit of the Hammersmith Hospital, London. Relapse was identified through elevation of tumour markers or positive histology. Patients with first and second relapse were included.

The HDCT conditioning regime used was carboplatin, etoposide, cyclophosphamide and paclitaxel (CarboPEC-Taxol). Paclitaxel 75 mg/m², etoposide 450 mg/m² and carboplatin AUC 10 were given on days -7, -5 and -3 from SCT, with cyclophosphamide 60 mg/kg on days -5 and -3.

Baseline patient and tumour characteristics, chemotherapy regimens, time to relapse, toxicity and PFS and OS outcomes were obtained from consultation outcomes and documentation and determined treatment outcomes. Through clinical review and retrospective evaluation of notes, PFS and OS as well as toxicity including acute myeloid leukaemia (AML) rates in the cohort were assessed. Toxicities were classified according to the Common Terminology Criteria for Adverse Events (CTCAE) v4.0.

Statistical analysis. Patients who had at least one autologous stem cell infusion were included in the analysis. PFS was derived from date of first chemotherapy for relapse until date of verifiable disease progression.

Similarly, OS was evaluated from date of first chemotherapy for relapse until date of death from any cause. PFS and OS were derived from Kaplan–Meier curves. Statistical analysis was achieved through the use of Microsoft Excel.

Results

Twenty-eight patients were treated with HDCT-ASCT and their baseline characteristics are shown in Table I. Median age was 35 years (range=21-57 years). Twenty-six (92%) patients had non-seminomatous disease and 2 (8%) had seminomatous disease. The majority of patients had IGCCG stage 4 disease. Twenty-five patients were treated for first

Table III. Outcomes.

Outcomes	Median follow up	38 months
	Range	2-145 months
Progression post-HDCT		13 patients (47%)
Disease-free	Tandem	11/12
according to transplant type	Non-tandem	6/16
Deaths	Total	12 (43%)
	- Disease related	10 (36%)
	- AML (treatment related)	1
	- Unexplained	1

HDCT: High dose chemotherapy; AML: acute myeloid leukaemia.

relapse and three treated in the third line setting for relapse/refractory disease.

Median time to progression after first line treatment was 6 months (range=1-106 months). POMB-ACE (cisplatin, vincristine, methotrexate, bleomycin, actinomycin, cyclophosphamide, and etoposide) was the most frequently used primary chemotherapy regimen (15/28) with the remaining patients receiving BEP-based chemotherapy.

Table II outlines second line management. Three patients had second line chemotherapy prior to priming. GEM-TIP was the most commonly utilised priming chemotherapy combination (26/28 patients) and Carbo-PEC-taxol was the most frequently used HDCT regime (27/28 patients). Twelve of 28 patients (43%) had tandem transplants, defined as two sequential stem cell infusions. Long-term toxicities of grade 2 or worse were most commonly peripheral neuropathy, occurring in 14 patients, ototoxicity in 5 patients and renal failure in 4 patients. There was 1 case of acute myeloid leukaemia.

Median follow-up was 38 months (range=2-145 months) (Table III). Of the total number of patients, 47% progressed after HDCT-SCT. Table IV shows the other treatment modalities used in the third line setting. The majority of the patients requiring further treatment had retroperitoneal lymph node dissection (RPLND) and 5 patients received external beam radiotherapy to the para-aortic nodes following HDCT and SCT.

Median TTP post-HDCT was 4.5 months (mean 7.6 months, range=2-24 months) with a median survival of 62 months (range=2-145 months) (Figure 1). Sixteen patients (57%) are in clinical follow-up with surveillance.

Discussion

Our results show that in a median follow-up of 38 months, 57% of patients were alive post-HDCT. This is in line with the case series by Lewin *et al.* demonstrating a 2-year OS of 71% (14). Comparatively, both their sample size of 17 and the sample size presented here [28] are small, but also

Table IV. Additional treatment modalities used in the second line setting after HDCT and SCT.

Additional treatment		Number of patients (n=28)
Surgery	RPLND	13
	Iliac lymph node dissection	2
	Other-	
	Brain resection	1
	Hemicolectomy	
	Small bowel resection	1
	Mediastinal mass resection	1
	Lung resection	
	Pelvic lymph node clearance	e 1
Radiotherapy	To para-aortic nodes	5

RPLND: Retroperitoneal lymph node dissection.

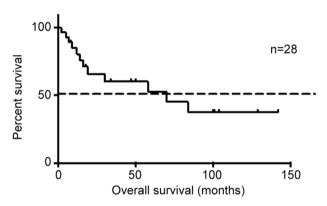


Figure 1. Kaplan-Meier curve demonstrating survival post-high dose chemotherapy and stem cell transplant.

exemplify the challenge in this rare tumour type, with rare event rates and high first line cure rates. Similarly, our series also report a mixed risk and gender cohort. Furthermore, the frequency of further multidisciplinary treatment is evident in this group of patients, with the majority of patients having either surgery or radiotherapy after HDCT and SCT.

The single case of AML reflects the anticipated toxicity and published rates of AML occurrence in these patients. There was one unexplained death that was most likely attributable to non-treatment related cardiac events. It is notable that there was no transplant-related mortality among the patients included who underwent SCT.

Patients with relapsed or refractory GCTs reflect a small proportion of patients with GCTs, as a majority of patients respond well to first line treatment. There are some available data that reflect our results and highlight a benefit in both PFS and OS from HDCT as initial salvage chemotherapy in relapsed or refractory GCTs; however, a degree of

uncertainty has remained. The IT-94 trial showed a superior objective response rate in patients treated with HDCT, but due to several notable limitations, failed to show a significant clinical benefit in the use of HDCT. The trial failed to recruit the projected number of patients and mortality was higher in the experimental arm of the trial using HDCT, which potentially obscured any benefit from HDCT. Furthermore, the trial was particularly limited by using a single HDCT cycle in the experimental arm, rather than sequential treatment, and over a quarter of the patients in this arm did not receive this cycle of HDCT (15).

As such, the TIGER trial, an international, multi-centre phase III trial prospectively comparing conventional-dose chemotherapy using TIP against high-dose chemotherapy using TI-CE followed by autologous stem cell transplantation, is currently underway to determine the optimal salvage treatment for patients with refractory or relapsed GCTs, thus providing sufficient evidence for the use of HDCT in this cohort of patients (14, 15).

Conclusion

This retrospective work adds to the already published data to support HDCT with SCT treatment in relapsed or refractory GCT. Our single-centre experience demonstrates that in unselected patients of variable risk, median survival exceeded 5 years post-HDCT and SCT, thereby offering an effective salvage option.

Conflicts of Interest

The Authors have no conflicts of interest to declare regarding this study.

Authors' Contributions

AS, DSB, PVJ, NV and MH contributed to the concept and design of the paper. AS, PVJ, AG, MJ, AY and EK contributed to the patient care and case presentation. AG contributed to the radiological examination. All Authors signed and approved the final manuscript.

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