Review

K-ras Mutations as the Earliest Driving Force in a Subset of Colorectal Carcinomas

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Abstract. K-ras oncogene is a key factor in colorectal cancer. Based on published and our data we propose that K-ras could be the oncogene responsible for the inactivation of the tumorsuppressor gene APC, currently considered as the initial step in colorectal tumorigenesis. K-ras fulfills the criteria of the oncogene-induced DNA damage model, as it can provoke wellestablished causes for inactivating tumor-suppressors, i.e. DNA double-strand breaks (causing allele deletion) and ROS production (responsible for point mutation). The model we propose is a variation of the currently existing model and hypothesizes that, in a subgroup of colorectal carcinomas, Kras mutation may precede APC inactivation, representing the earliest driving force and, probably, an early biomarker of colorectal carcinogenesis. This observation is clinically useful, since it may modify the preventive colorectal cancer strategy, restricting numerically patients undergoing colonoscopies to those bearing K-ras mutation in their colorectum, either in benign polyps or the normal accompanying mucosa.

Colorectal cancer (CRC) is the third most common cancer in men and the second most common cancer in women globally (1). Annually, the current worldwide incidence of CRC is estimated to overreach 1,200,000 patients, with a slight preponderance in males (1, 2). It stands for almost 9% of the

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Key Words: K-ras mutation, normal mucosa, benign polyp, biomarker, colorectal cancer, earliest mutation, review.

overall cancer incidence (3). Its 5-year survival rate is estimated to be 60% (1,4). Data from the USA estimated that the lifetime risk of acquiring the disease is 6% and that CRC is the third most common cause of cancer-related deaths on 2014 (4). Despite the remarkable decline of CRC incidence across the last decades, its mortality has fallen slightly, while the annual ratio of CRC-related deaths to the newly-diagnosed patients is recorded to remain unchanged during the last years, *i.e.* approximately 33% (3, 5, 6). Therefore, deep comprehension of the colorectal tumorigenesis process is indispensable both for the timely diagnosis and for the effective prevention of colorectal cancer.

Although several forms of hereditary colorectal cancer have been described, the majority of cases are sporadic (2). Sporadic colorectal cancer is an inhomogeneous disease; in fact, under the umbrella of this term several molecular genotypes are included, differing from each other according to the temporal order of events, the symptoms, the response to therapy and prognosis (2, 7, 8, 9). Nevertheless, they share certain common genetic alterations that include, among others, mutations in the K-ras oncogene (8). As K-ras mutations are rather early events during colorectal cancer development (2), an emerging question is whether such aberrations can function as a driving force from the initial stages of development, at least in certain genotypes of this cancer. Available data will be examined and discussed to address this issue.

Current View on the Role of *APC* **Inactivation in Colorectal Tumorigenesis**

More than three decades ago, a first model attempting to describe the accumulation of genetic events that lead to colorectal carcinogenesis (the adenoma-carcinoma sequence), characterized *APC* aberrations as the initiating event (10).

Why does the current dominant scientific thesis (11) continue to keep the same established order of these genetic events in CRC (Figure 1)?

The Wnt/ β -catenin pathway is activated in almost all human colon carcinomas (12, 13). Both early and advanced stages of colorectal cancers depend on constitutive Wnt pathway activity (11). Somatic *APC* lesions are found even in microscopic adenomas composed of few dysplastic glands (13, 14). In 70-80% of sporadic colon adenomas (11, 15) and in 80-90% of sporadic colon carcinomas (8, 12) biallelic *APC* loss is found; the remainder percentage represents functionally equivalent alterations in other components of the pathway (*e.g.* β -catenin) (16).

Besides this, CRC is a well-documented disease of stem cells (15, 17, 18); it springs from stem cells and not from their descendents (19); stemness is a characteristic of the transforming colon cells that require the Wnt activation to initiate, proliferate and settle in colon crypts (20).

In the wild-type crypt, β -catenin levels are elevated in the epithelial cells of the bottom of the crypt (due to the plethora of Wnt signaling) (20), enhancing stemness, eliminating differentiation, triggering proliferation, and facilitating the migration of colonic cells from the basis to the surface of crypts. Nevertheless, a transition from the β -catenin ON state to β -catenin OFF state occurs in the borderline of the lower and middle third of the crypt (17), which is due to the gradual decrease of Wnt signal factors and the gradual increase of APC protein from the bottom to the top of the crypt. The effects of this change to the upper part of the crypt (villous domain) are critical: cell cycle arrests, stem cells differentiate, genetic defects accumulate and cells are destined to fall in the gut lumen, *via* apoptosis activation (20).

In the adenomatous crypt, constant Wnt activation and reduced function of APC protein take place across the fulllength of the crypt axis, resulting in the stabilization of βcatenin; β-catenin levels therefore increase; it remains in the ON state in the upper as well as in the lower parts of the crypt. The transition shifts to a higher crypt level and the mutated cells are trapped in the villous domain. Increased βcatenin levels aid these trapped, mutated cells both to retain a progenitor phenotype and to continue proliferation, since the [TCF-β-catenin] complex switches the cell programme from the differentiated intestinal cell to the proliferating progenitor cell. The mediator of these effects is c-myc, which represses the cell-cycle inhibitor p21 (21). Consequently, inside these mutated cells, differentiation process ceases, proliferation continues without cessation and apoptosis is blocked; outmigration of these cells in the gut lumen is canceled (20). In this way, clusters of aberrant cells, that carry progenitor-like phenotype, accumulate and form an aberrant crypt at the site where future polyp forms.

There exists experimental evidence of such sequence of events. Biallelic loss of *APC* disrupts colon crypt homeostasis;

its silencing drived proliferation in the intestine, while its reexpression restored it (12). Heterozygous APC mutation at the crypt base is followed by asymmetric and symmetric division of APC wt/mt stem cells and the replacement of APC wt/wt with APC wt/mt stem cells (niche succession). APC wt/mt stem cells are at risk for acquiring a second APC mutation. In order for this 2nd hit to occur, APC mt/wt stem cell progenies move to the villous domain, undergo further division and, due to unknown genetic events, lose their heterozygosity. APC mt/mt stem cell clone eventually replaces the APC mt/wt stem cell clone and fill the crypt (further niche succession). In this way, niche conversion (monocryptal adenomas) takes place. Nonetheless, APC mutation alone is sufficient to trigger the complete niche succession (18). Monocryptal adenomas are monoclonic and are viewed as the earliest histologicallydetectable precursor lesions of the colorectal tumor process. Asymmetric crypt fission follows (20), in which crypts divide laterally, providing a possible explanation to field cancerization (18).

The result is the formation of foci of aberrant crypts (aberrant crypt foci -ACF), a term equivalent to microadenoma (14). Although the oncogenic potential of ACF is uncertain (14, 17, 18, 20, 22) and despite the fact that this term is indeed endoscopic (is defined as microscopic clusters of small number of abnormal/altered crypts in unembedded colon mucosa detected *in vivo* with aid of dye spray using magnification endoscopy) (19), ACF are viewed as the very early lesions of the colon tumorigenesis process, preceding the formation of adenoma (13, 14, 20, 23). In their majority they are histologically normal, but they may represent hyperplastic or dysplastic crypts (22); dysplastic crypts are those that ultimately are converted to adenomas (13, 14, 20).

Questions Associated with the Current Model of Colorectal Tumorigenesis

The first question to emerge regards the obscure nature of the early inactivation of APC. Tumor suppressor (ts) genes outnumber oncogenes in CRC (the ratio is 4 to 1) (24). Their inactivation is, therefore, indispensable for the initiation, the promotion and the progression of the process. Tumor suppressors' inactivation actually is due to: i) allele deletion (loss of heterozygosity) and/or ii) point mutation. In the first case, allele deletion necessitates DNA double strand breaks (DSBs) to facilitate genomic rearrangements that eventually lead to loss of genomic segments. DSBs in the genome can occur only under oncogene-induced replication stress. In the second case, nucleotide substitutions can arise either from exogenous factors or from endogenous ones. The most frequent are endogenous causes, which arise mainly from increased ROS (reactive oxygen species) production, due to metabolic stress. Activated oncogenes are again responsible for ROS generation (25-27).

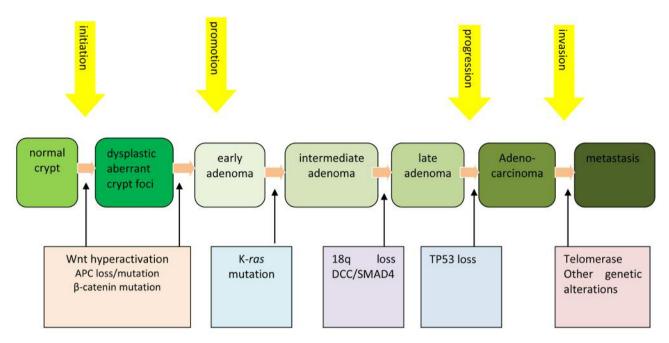


Figure 1. The current model of colorectal cancer development: the adenoma-carcinoma sequence. The colorectal tumorigenesis process is a continuum. Colorectal cancer results from the stepwise accumulation of multiple, clonally selected, somatic mutations that force the normal crypts to transform to dysplastic epithelium and then to carcinoma. Wnt hyperactivation (APC inactivation or β -catenin mutation) usually occurs at the initial step, conferring to the initiation of the neoplastic process, whereas K-ras mutation usually occurs at an early step, contributing to the promotion of the oncogenic process. This order of events accounts for approximately 70% of sporadic colorectal cancer cases (based on references 10, 11, 13, 14, 30, 90, 93 and 99).

Therefore, although we are aware that the inactivation of a ts gene initiates colorectal tumorigenesis, the origin of its inactivation is unfamiliar. According to the just mentioned data, we don't know which oncogene should be responsible for this genetic aberration; this oncogene should be activated presumably before *APC* aberrations, *i.e.* from the very early stages of the sporadic colorectal cancer. Additional questions arise: Which is the nature of the genetic event(s) that provoke the loss of heterozygosity of *APC*? What is the mechanistic basis of such an early inactivation?

Second, biallelic APC loss is not indispensable in all CRC patients. Genotypes 1 and 2 (CIMP-high, consisting 20% of CRC cases) may miss APC mutation; opposite to this, APC loss characterizes genotypes 3 to 5 (8). Only half of the hypermutated colon cancers hold the mutation, percentage significantly lower compared to respective in the non-hypermutated ones (80%) (28). Moreover, experimental findings from transgenic mice showed that colorectal tumorigenesis can be initiated in the absence of APC or β -catenin mutation (29).

Third, as Fearon and Vogelstein had made clear from the very first disclosure of their model, the progressive accumulation of genetic changes, rather than their order of occurrence is crucial for the colorectal tumor progression.

They had shown that the occurrence of 5q loss was not synonymous to the initial stage of tumorigenesis. Furthermore, they had speculated that the transition from hyperplastic to neoplastic colon mucosa might not be due to a second *APC* allele loss, opening a window to interrogate for other events that might initiate colorectal tumorigenesis (10).

Fourth, the fundamental prototypical model for sporadic colorectal cancer development, with the order of events it premises, is valid in only 70 percent of sporadic CRC cases (30).

Fifth, the incidence of the loss of heterozygosity of APC in sporadic adenomas and carcinomas is actually lower than it is speculated, ranging from 20-63% (31-33). Despite the fact that functionally equivalent to APC loss, Wnt mutations are almost always present in colorectal tumors, initiating tumorigenesis, the possibilities of progression to cancer in case APC is normal are smallest compared to APC mutated colon adenomas (16). In such cases two issues should be enlightened: What are the alternative events that hyperactivate the Wnt pathway? Which genetic alterations play the role of the initiating events or the role of gatekeeper mutations in colorectal tumorigenesis process?

Sixth, it became experimentally evident, that, at least in some CRC cases, chromosomal instability may precede APC

loss (14). Similarly, it was demonstrated that there were the changes in chromatin conformation along the villous-crypt axis that modulated *APC* expression and not the opposite (20). It therefore became obvious that another genetic defect, different from *APC* loss, was responsible for the acquisition of genomic instability.

Last, but not least, dysplastic ACF (aberrant crypt foci), which are the postulated precursor of adenomas (13, 14, 20, 23), exhibit *APC* loss in a tiny fraction in non-FAP (FAP corresponds to familiar adenomatous polyposis) patients (0-6%) and in 100% of FAP patients (14). In contrast, K-*ras* mutations are found in 50-67% of dysplastic ACFs (14, 34) and in 82% of non-dysplastic ACFs (14). It was therefore proposed that in non-FAP patients K-*ras* mutations might precede *APC* mutations and that the event that was responsible for the initiation of carcinogenesis in the development of a subset of sporadic adenomas might be an alternative genetic pathway that does not involve *APC* loss (14, 15).

Interdependency Between Wnt/β-catenin and MAPK Pathways

Progression towards colorectal cancer needs in most cases both *APC* and K-ras mutations. They are not absolutely independent, though. The interplay of *APC* and K-ras mutations in colorectal tumorigenesis is poorly understood and extremely complicated. Early reports had shown a synergistic effect between Wnt pathway (regarding *APC*) and ERK pathway (regarding K-ras) (29), which are confirmed by recent ones (35).

APC monoallelic mutation confers to low cancer stem cells (CSCs) activation in the basis of colon crypts (weak βcatenin signaling). In APC mt/wt cells, the addition of K-ras mutation enhanced Wnt signaling (36), promoted β-catenin signaling (a statistical association was documented between nuclear β-catenin presence and K-ras mutation) (37), resulting in the activation of cancer stem cells, their selfrenewal and the clonal expansion of their population (35). K-ras mutation by its own promotes widespread hyperplasia on the colon epithelium (38); this hyperplasia may predispose to the loss of heterozygosity (LOH) of APC. Indeed, K-ras mutation is expressed in the upper part of the crypt, where APC LOH takes also place (39). In the background of biallelic APC loss, K-ras mutation imposes a further obstacle in APC mt/mt cells to dedifferentiate, as they try to migrate towards the top of the crypt (39).

Normal *APC* gene can suppress tumorigenesis induced by K-ras (40), whereas homozygous *APC* loss, stabilizes oncogenic RAS protein (GTP-RAS), enhancing its action (41). This effect is wider, since the inhibition of degradation of the oncogenic form of Ras protein by various components of Wnt/ β -catenin pathway was also proved (42). Therefore, it seems that MAPK activities (activated Ras protein included)

are negatively regulated by the normal function of the APC protein, which degrades β -catenin, and is positively regulated by APC mutation or by the abundance of Wnt signaling, which increases cytoplasmic and nuclear levels of β -catenin. This regulation was indeed proved to be mediated by β -catenin (42, 43). Thus, oncogenic K-ras protein augments its action in the context of Wnt/APC/ β -catenin hyperactivation. To go further, it seems that high Wnt activity, though necessary, is not sufficient to initiate tumorigenesis and is regulated by MAPK signaling (41): in zebra fish, homozygous APC loss was proved to be insufficient to cause β -catenin nuclear translocation; rather, the nuclear accumulation of β -catenin required the additional activation of K-ras (43).

Moreover, K-ras mediated intestinal cell proliferation, following APC loss, required β-catenin (43, 44). K-ras mutation enhances Wnt/β-catenin pathway activation, conferring to stemness and finally to cancer stem cells exaggerated activation: it was demonstrated that forced expression of mutant K-ras in colon cancer stem cells enhanced Wnt target genes and β-catenin nuclear aggregation (44), while blocking EGFR had the opposite effects (37). The enhancement of β -catenin's action by K-ras mutation is shot up by 3 more effects: first, K-ras mutation increases nuclear β-catenin translocation, via a Raf-mediated route (44), second, it increases the formation of nuclear βcatenin/TCF-4 complexes (45) and third, it augments tyrosine phosphorylation of β-catenin resulting in its dissociation from E-cadherin in cell membrane, increases its cytoplasmic pool and its translocation to cell nucleus (36).

Furthermore, few links were found between the aforementioned pathways: first, glycogen synthase kinase 3b (GSK-3 β) is inhibited by mutated K-ras in a PI3K manner, i.e. through activating AKT (46); meanwhile, inhibition of GSK-3 β stabilizes β -catenin. Nonetheless, this action is exerted only if Wnt signaling is active (45). Interestingly, GSK-3 β is promoted via Wnt activation leading to Ras phosphorylation and Ras stabilization to RAS-GTP state, creating a vicious cycle (42). Second, LRP6 is phoshorylated by oncogenic K-ras signaling; P-LRP6 promotes canonical Wnt/ β -catenin pathway and eliminates β -catenin in cell membrane, conferring to loss of cell-cell contact and epithelial-mesenchymal transition (47).

Taking into account the aforementioned data, it is attractive to speculate that oncogenic K-ras and mutagenic APC function synergistically in intestinal tumor formation and progression. They are mutually activated: K-ras mutation exerts its effects through MAPK pathway as well as the Wnt/ β -catenin pathway (36), whereas APC inactivation acts via Wnt/ β -catenin activation as well as MAPK pathway (41, 42). To complement the synergy, some common targets, regulated by both mutations have been detected (for example c-myc is activated by mutagenic Ras, at the protein level, and by Wnt signaling, at the transcriptional level) (36) (Figures 2 and 3).

Why is K-ras Mutation a Potential Alternative Initiating Event in Colorectal Tumorigenesis?

The role of Ras proto-oncogene in colon cell physiology and homeostasis is central and important: it regulates cell motility, aids gene expression, regulates cell cycle, halts cell proliferation, enhances survival and promotes apoptosis (48).

Given the confirmed interdependency between MAPK and Wnt pathways, could K-ras mutation be the triggering event to APC inactivation? K-ras mutations are the commonest genetic alterations in CRC (39, 49) and the most prevalent oncogenic driver mutation in CRC (50). It is among the earliest genetic events of the process (51) although it is well known that it can occur at any stage (52). Its mutations are present in 50-95% of dysplastic ACF (34,53), in 15-78% of colorectal adenomas (54, 55) and in 36-42% of CRCs (56). K-ras mutations on codons 12/13 of exon 2 and on codon 61 of exon 3 are de novo mutations whose presence depends on the random "activation" of the protooncogene K-ras during the life-span of healthy individuals either due to carcinogen exposure or to sporadic replication errors (57). By this point of view, K-ras mutation is a kind of dosimeter of carcinogen exposure of the colorectum of the given individual in the given point of time (58).

K-ras mutation weaves an extended web, which confers to the polyp phenotype. It can provoke all the hallmarks and the enabling characteristics of cancer: increases local inflammation (39), promotes proliferation, suppresses apoptosis, deregulates cellular energetics, helps the cell to evade immune destruction, increases stromal remodeling (59), enhances genomic instability (60-70), induces angiogenesis and activates invasion and metastasis (59).

Ras activation appears to be vital for signaling by extracellular mitogens. K-ras mutated cells are prone to acquire additional genetic alterations, indispensable for proliferation (51). Thus, although K-ras mutation may not be sufficient to generate a neoplastic growth advantage (53, 51, 58, 71) it may place the normal tissue at increased risk of being transformed to cancer cell (51, 58, 72). Indeed, K-ras mutation was shown to promote colon carcinogenesis (73). Animal studies (74) proved that mutated K-ras is a connecting link between the cause (carcinogen) and the effect (neoplasia), since it can be detected in histologically normal colorectal tissues 2-15 weeks after exposure to a carcinogen and only 2 weeks before the onset of neoplasia (57). Nevertheless, this alteration is self-limited in the absence of additional genetic events (53), probably because the wild-type K-ras allele exerts an oncosuppressive effect on its mutated counterpart (75, 76) or because of the oncogene-induced senescence (48, 77). Importantly, K-ras is incapable to induce senescence if APC is mutated (39).

Beyond these, and perhaps more importantly, strong indications make us claim that K-ras mutation fulfills the

criteria of the oncogene-induced DNA damage model. According to this model, oncogenes cause DNA replication stress; the DNA replication forks collapse and genetic events (microdeletions, chromosomic rearrangements, DNA double-strand breaks) occur, leading to genomic instability (25). Nonetheless, cancer does not occur and the total process stops to the precancerous lesions, because apoptosis and senescence confer a barrier (25, 77). This defensive mechanism has been documented in colorectal adenomas, in which the reduced proliferation activity coincides with raised incidence of apoptosis and senescence (25).

Mutated K-ras acts on S phase, where chromosomal duplication occurs. Ras increases replication stress, causing persistent mitogenic activity, which consists of increased number of active DNA replication origins and collapsed replication forks (59). Both result in DNA damage response (DDR) (59, 78). DDR is tested by DNA damage sensors and may direct the transformed cell upon 4 possible directions: proliferation, senescence, apoptosis (59) or defects in the DNA replication repair mechanisms (69). The last direction is quite important, as it causes inevitably genomic instability (59) (Figure 2). K-ras mutation is a major etiological factor of genomic instability (60-70, 78-80), which is absolutely necessary for the tumorigenesis process to accelerate.

Mutated K-ras generates ROS through MAPK-dependent GATA-6 phosphorylation. ROS production is furthermore augmented through a vicious cycle: ROS directly enhances oncogenic Ras activation and augments MAPK, which further generates ROS (26). Ras-induced ROS are indeed mitogenic signals and eventually cause DNA damage generation and DDR activation. They seem to be crucial for K-ras-induced cellular transformation (81) and indispensable for the tumorigenic effect of Ras mutated cells: oncogenic Ras-expressing cells depend on ROS for their proliferation. Similarly the DDR-activating factors of ROS are dependent on the ongoing replication, acting only on a proliferating cell (27) (Figure 2).

Which Established Knowledge did our Study Confirm?

We studied the status of K-ras mutation (codons 12 and 13 of the exon 2) in the entire spectrum of the intermediate stages of colorectal tumorigenesis, from the histologically normal colorectal tissue to metastatic liver colorectal tissue. 40 patients were included in the study, being grouped into cancer-bearing bowel group (24 patients with sporadic colorectal cancer, biopsied in 78 different tissue-regions, 34 normal and 44 neoplastic) and cancer-free bowel group (16 patients with sporadic colorectal benign polyps, biopsied in 50 different tissue-regions, 27 normal and 23 neoplastic,

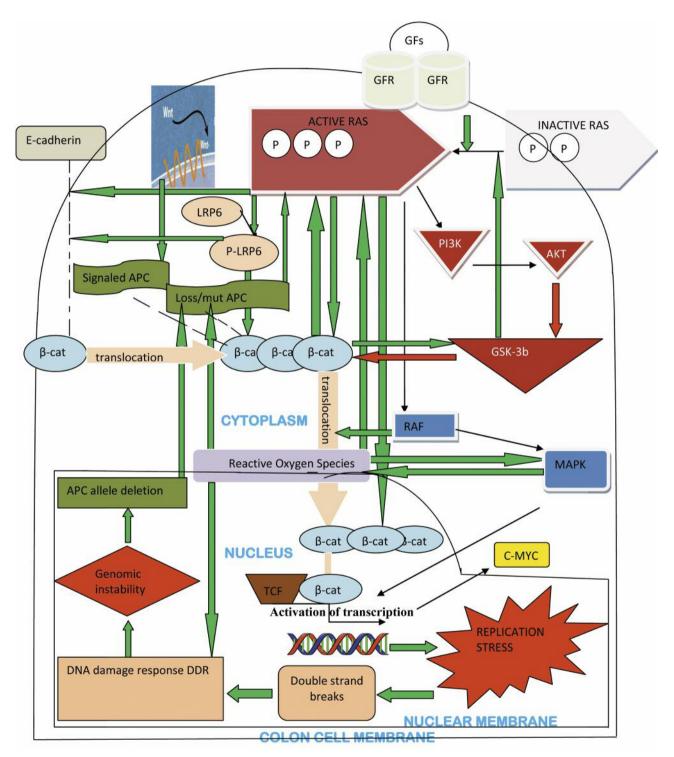


Figure 2. The complex multiple mechanisms by which Wnt/β -catenin and MAPK pathways interact in the colon cell, conferring to the initiation of tumorigenesis (see text for detail). The arrows filled with red colour indicate inhibition of the target they direct; the arrows filled with green colour indicate promotion of the target they direct (based on references 25-27, 29, 35-47, 68-70, 78-80 and 95).

Table I. Panorama of demographic features, tissue regions and tissue samples in the 3 groups of members of the study (cancer-bearing group, cancer-free group, control group).

	Cancer- bearing group	Cancer- free group	Control group	Total
Number	24	16	13	53
M/F	18/6	9/7	7/6	34/19
Age span (years)	50-86	19-80	43-78	19-86
Age average (years)	73.25	58.68	62.84	66.30
Normal tissue regions	34	27	39	100
Neoplastic tissue regions	44	23	0	67
Total tissue regions	78	50	39	167
Tissue regions per member	3.25	3.125	3	3,15
Normal tissue specimens	68	54	78	200
Neoplastic tissue specimens	88	46	0	134
Total tissue specimens	156	100	78	334
Tissue specimens per member	6.5	6.25	6	6.3

Table I). Meanwhile, we searched for K-ras mutation in a control group (13 healthy volunteers with similar age and sex characteristics with the aforementioned groups and absolutely normal total colonoscopy); in this group, we afforded three tissue-regions per bowel (i.e. from right colon, from left colon and from rectum). Globally, we tested 167 tissue-regions in all three groups (100 normal, 36 neoplastic non-cancerous, 31 neoplastic cancerous, Table I). The symbols corresponding to each distinct tissue-region, normal, benign or malignant, are listed on Table II. From each tissue-region we biopsied 8 distinct specimens, directing six of them towards histopathological diagnosis and 2 of them for molecular analysis, i.e. for detection of possible K-ras mutations. To sum up, 334 different tissue samples were tested for K-ras mutation.

The statistical analysis of the acquired data was performed with Stata 9.0 (StataCorp, College Station, TX, USA). Continuous variables are expressed as mean \pm standard deviation. Categorical variables are expressed as percentages. Chi-Square test or Fisher's exact test were used for comparison of categorical variables. A value of p<0.05 was used as a threshold for statistical significance.

The conclusions of our study that confirmed the established knowledge from the literature are summarized as follows:

- i. K-ras mutation was not associated with age, sex and the location of neoplasia (data not shown).
- ii. Serrated lesions may carry K-ras mutations. Although we show that mutation rates don't differ between serrated and non-serrated lesions (Tables III, V and VI), the small number of serrated lesions (Table II) renders the value of this observation weak.

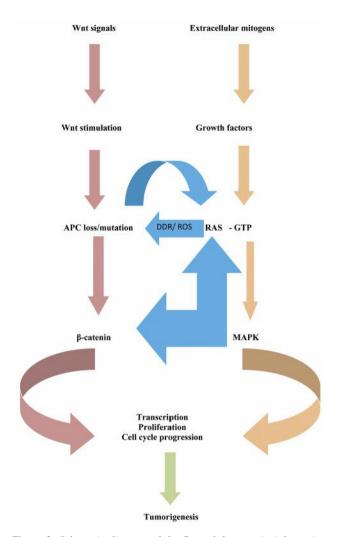


Figure 3. Schematic diagram of the flow of the genetic information through the two major initiating pathways in human colorectal tumorigenesis (Wnt and MAPK), representing the assumed dipole they consist and their interdependency.

- iii. Nearly one-fifth of benign polyps carried K-ras mutations; this rate did not differ significantly comparing the two groups of patients (23.07% in the cancer-bearing group and in 17.4% in the cancer-free group, p=0.68, Tables III, V and VI).
- iv. K-ras mutation was detected in more than one half of primary colorectal cancer tissues, irrespective of its staging (the rate was 54.16%, Table VI) and less than one half of metastatic liver colorectal lesions (the rate was 42.85%, Table VI). Thus, K-ras mutation does not confer to the metastatic potential of colorectal carcinomas.
- v. K-ras mutation does not confer to the formation of early adenomas (confirming other researchers) (32, 55, 82), but it rather aids the evolution of the non-advanced adenoma to the advanced one (data not shown).

Table II. Endoscopic features, symbols of tissue-regions and their detailed numbers in cancer-bearing group and cancer-free group.

	Cancer-bearing group	Cancer-free group	Total
Average size of non-advanced adenomas	0.80	0.55	0.63
Average size of advanced adenomas	1.35	1.30	1.32
Average size of conventional adenomas	1.075	0.871	0.95
Average size of serrated lesions	0.44	0.57	0.52
Average size of carcinomas	2.1		2.1
Right/Left ratio (conventional adenomas)	4/4	8/6	12/10
Right/Left ratio (serrated lesions)	0/5	2/7	2/12
Right/Left ratio (benign polyps globally)	4/9	10/13	14/22
Right/Left ratio (carcinomas)	8/16		8/16
Ben-NF: normal tissue far from benign lesions in cancer-free bowel	0	12	12
Ca-NF: normal tissue far from CRC	11	0	11
Aden-NN: normal tissue near adenomatous lesions	2	8	10
Serr-NN: normal tissue near serrated lesions	0	7	7
Ben-NN: normal tissue near benign polyps globally	2	15	22
Ca-NN: normal tissue near CRC	21	0	21
Sum of normal tissue-regions	34	27	61
Aden-t: adenomatous tissue	8	14	22
Serr-t: serrated lesions	5	9	14
Sum of benign neoplastic regions	13	23	36
Ca-t: full-blown colorectal cancer tissue	24	0	24
Meta-t: liver metastatic tissue	7	0	7
Sum of malignant neoplastic regions	31	0	31
Sum of neoplastic regions	44	23	67
Total number of tissue regions	78	50	128

Table III. K-ras mutation rates are identical between the various categories and subcategories of benign polyps inside the cancer-bearing group and the cancer-free group.

Benign neoplastic tissue origin	mt K-ras (No)	wt K-ras (No)	K-ras mutation rate
Advanced adenomas/Ca-bearing bowel	2	2	2/4=50%
Advanced adenomas/Ca-free bowel	3	3	3/6=50%
Advanced adenomas (sum)	5	5	5/10=50%
Non-advanced adenomas/Ca-bearing bowel	0	4	0/4=0%
Non-advanced adenomas/Ca-free bowel	0	8	0/8=0%
Non-advanced adenomas (sum)	0	12	0/12=0%
Adenomas/Ca-bearing bowel	2	6	2/8=25%
Adenomas/Ca-free bowel	3	11	3/14=21.42%
Adenomas (sum)	5	17	5/22=22.72%
Serrated lesions/Ca-bearing bowel	1	4	1/5=20%
Serrated lesions/Ca-free bowel	1	8	1/9=11,11%
Serrated lesions (sum)	2	12	2/14=14.28%
Benign polyps/Ca-bearing bowel	3	10	3/13=23.07%
Benign polyps/Ca-free bowel	4	19	4/23=17.4%
Benign polyps globally	7	29	7/36=19.44%

vi. The molecular behavior of advanced adenomas resembles that of colorectal cancer; the rate of K-ras mutation is approximately the same between them (50% and 54.16%, p=0.82), they both carry the mutation more often than non-advanced adenomas (p=0.009 vs. 0.02 respectively) and serrated lesions (p=0.08 and 0.019) and they both have more

mutations compared to total normal tissues of the study (p=0.02 vs. p<0.001 respectively). Furthermore, both neoplasms have insignificant differences with the corresponding metastases (p=0.65 vs. p=0.65). Thus, K-ras mutation does not promote the transformation of advanced adenomas to carcinomas.

Table IV. K-ras mutation rates are identical between the various categories, subcategories and supercategories of normal tissue-regions inside the cancer-bearing group and the cancer-free group.

Normal tissue origin	Group	mt K-ras (No)	wt K-ras (No)	K-ras mutation rate
Ben-NF	Ca-free	1	11	1/12=8.33%
Ca-NF	Ca-bearing	1	10	1/11=9.1%
Aden-NN	Both	2	8	2/10=20%
Serr-NN	Both	1	6	1/7=14.28%
Ca-NN	Ca-bearing	4	17	4/21=19,05%
Aden-NN	Ca-free	2	6	2/8=25%
Aden-NN	Ca-bearing	0	2	0/2=0%
Serr-NN	Ca-free	1	6	1/7=14.28%
LGD-NN	Both	1	7	1/8=12.5%
LGD-NN	Ca-free	1	5	1/6=16,66%
LGD-NN	Ca-bearing	0	2	0/2=0%
HDG-NN	Ca-bearing	1	1	1/2=50%
Ben-NN (Aden-NN + Serr-NN)	Both	3	14	3/17=17.64 %
Ben-NN (Aden-NN + Serr-NN)	Ca-free	3	12	3/15=20%
Normal Far tissues globally (BEN-NF + Ca-NF)	Both	2	21	2/23=14.28%
Cancer-bearing bowel (sum of tissue-regions)	Ca-bearing	5	29	5/34=14.70 %
Cancer-free bowel (sum of tissue-regions)	Ca-free	4	23	4/27=14.81 %
Total number of tissue-regions	Both	9	52	9/61=14.75 %

HGD-NN: normal tissue adjacent to adenoma with high-grade dysplasia; LGD-NN: normal tissue adjacent to adenoma with low-grade dysplasia.

vii. Considering separately the criteria of whether an adenoma is categorized as advanced or not (55, 83, 84) (*i.e.* size 1 cm, villous component over 80%, low or high grade dysplasia), we confirmed that neither of them had an etiological relationship to K-ras mutation incidence (p-value was 0.11, 0.36 and 0.54, respectively – data not shown). viii. Normal tissues adjacent to colorectal carcinomas may carry K-ras mutations (Tables IV and VI); the status of K-ras of this normal mucosa does not necessarily agree with K-ras status of cancer (data not shown).

Novel Aspects from our Study

The present study revealed several data concerning colorectal carcinogenesis, that are reported for the first time. In brief, the novelties of our study are the following:

- i. It is the first study, to the best of our knowledge, to analyze for possible K-ras mutations the endoscopicaly and histologically normal colorectal mucosa belonging to a totally normal bowel; it was proved that the mutation was totally absent inside this bowel group (K-ras mutation rate was 0% inside the 78 normal specimens from the control group).
- ii. It was the first time to demonstrate that normal (endoscopicaly and histologically) colorectal tissue from a non-cancerous bowel with benign polyps may carry K-ras mutations.
- iii. All categories, subcategories and supercategories of normal tissues belonging in ca-free group, in ca-bearing group, or in combined groups, displayed the same possibility

Table V. Regarding K-ras mutation, cancer-free group constitutes a homogenous entity: the proportions K-ras mutations inside normal tissues of the group are almost the same compared to the proportions of K-ras mutations inside neoplastic tissues of the group.

Tissue region	mt K-ras (No)	wt K-ras (No)	K-ras mutation rate
Ben-NF	1	11	1/12=8.33 %
Aden-NN	2	6	2/8=25 %
Serr-NN	1	6	1/7=14.28 %
Ben-NN	3	12	3/15=20 %
Sum of normal tissues	4	23	4/27=14.81 %
Advanced adenomas	3	3	3/6=50%
Non-advanced adenomas	0	8	0/8=0%
Aden-t	3	11	3/14=21.42%
Serr-t	1	8	1/9=11.11%
Sum of neoplastic tissues	4	19	4/23=17.4%

of carrying a K-ras mutation (p>0.05 in all possible comparisons); this possibility (approximately 15%) was significantly higher, comparing the normal mucosa of Cabearing group to the control group (p=0.018) and the normal mucosa of ca-free group to the control group (p=0.024) (Table IV). This observation indicated that K-ras mutated normal colon mucosa carries equal risk for developing cancer, regardless of the presence of cancer.

Table VI. K-ras mutation rates in different tissue-regions in the cancer-bearing group and the cancer-free group.

Tissue-region	K-ras mutation rates cancer-bearing bowel	K-ras mutation rates cancer-free bowel	K-ras mutation rates globally
Ben-NF		8.33%	8.33%
Ca-NF	9.1%		9.1%
Aden-NN	0%	25.0%	20%
Serr-NN		14.28%	14.28%
Ca-NN	19.05%		19.05%
Normal tissues	14.70%	14.81%	14.75%
Aden-t	25%	21.42%	22.72%
Serr-t	20%	11.11%	14.28%
Ca-t	54.16%		54.16%
Meta-t	42.85%		42.85%
Benign neoplastic tissues	23.07%	17.4%	19.44%
Malignant neoplastic tissues	51.61%		51.61%
Neoplastic tissues	43.18%	17.4%	34.33%
Tissues globally	30.77%	16.0%	25.0%

iv. Benign colorectal polyps had the same possibility to carry K-ras mutations with their co-existing normal colorectal mucosa; this fact was not dependent on the presence of cancer (p=0.66 for the ca-bearing group, p=1 for the Ca-free group). v. Ca-free group displayed homogeneity, concerning K-ras status (Table V); the possibility for a tissue-region from this bowel group to bear the mutation was not dependent on its nature (i.e. neoplastic or normal) and was greater than the control group (p=0.008)

vi. K-ras mutation status remarkably agreed between the neoplastic and the non-neoplastic tissues, inside the same group of patients (detailed data not shown). Despite agreement (agreement rate=68.80%), no correspondence was evident in the cancer-bearing group between cancerous and normal tissue (p=0.039, i.e. the percentages differ significantly), meaning that K-ras status of the neoplastic cancerous tissue cannot be predicted by K-ras status in the co-existing normal colorectal tissue. On the contrary, in the absence of cancer, the agreement rate was even greater (82.60%) and correspondence was evident between polypoid and normal tissue (p=0.12, i.e. the percentages don't differ significantly), meaning that K-ras status of the benign neoplastic tissue can be reliably predicted by K-ras status in the co-existing normal colorectal tissue.

vii. Cancer-bearing group had greater possibility to carry K-ras mutations compared to control group (p<0.001), but only modestly higher possibility, compared to cancer-free group (p=0.059, denoting a strong trend).

viii. Normal colorectal tissues far from benign or malignant neoplasm have the same possibility to carry the mutation compared to the control group (p=0.23 for the ca-free group and 0.22 for the ca-bearing group); instead, normal colorectal tissues adjacent to benign or malignant neoplasms had more

K-ras mutations related to the control group (p<0.05 in all possible comparisons, data not shown).

ix. Collectively, taking into consideration the last 2 observations (viii and ix) and the well-documented knowledge that K-ras mutation does not confer to the formation of early adenoma, we can conclude that K-ras mutation is a very early event in the colorectal carcinogenesis process.

Future Implications of our Findings

To the best of our knowledge, this is the first study that explores simultaneously all the successive intermediate stages implicated in human colon tumorigenesis. Also, to the best of our knowledge, this study demonstrates that the endoscopicaly and simultaneously histologically normal mucosa from a cancer-free bowel with sporadic benign polyps may carry K-ras mutations. Two previous works (81, 85) showed conflicting evidence concerning K-ras mutations rates in non-neoplastic bowel, whereas others detected K-ras mutation in colonic effluent samples (86) and in stool (87) from persons with colorectal adenomas. Besides these data, K-ras mutations' presence in histologically normal mucosa from cancer-bearing bowel has gained plethora of proofs (58, 71, 81), ranging the mutation percentage from 5 to 25% in this category (88).

Many researchers have demonstrated that (32, 72, 74, 81, 82, 85, 89): 1st, in cancer-carrying bowel, K-ras mutated normal mucosa represents an early event of the successive steps that potentially end up to cancer, and, 2nd, this mutation predicts future colorectal cancer formation in the same bowel (81). We now support the expansion of both conclusions to the cancer-free bowel for the following reasons: First, we demonstrated that normal colorectal tissues

adjacent to neoplasms carry the mutation more often than the control bowel, whereas normal colorectal mucosa far from neoplasms does not. Second, we detected the presence of K-ras mutation in the normal mucosa of polyp-bearing bowel, but not in the normal mucosa of the absolutely normal bowel. Third, we confirmed that K-ras mutated normal colon mucosa carries an increased risk to transform to cancerous tissue; this risk does not depend on the presence of concomitant cancer. Fourth, we demonstrated that K-ras de novo somatic mutation is not age-dependent; its acquisition is rather random, takes place at any age and is due to exposure of colon cells to environmental mitogens. Fifth, we proved that cancer-bearing bowel carried a moderate only (statistically insignificant) higher K-ras mutation rate.

Thus, in a subset of sporadic colorectal adenomas, K-ras mutation could fulfill the role of the earliest activated oncogene that drives colorectal tumorigenesis and predicts colon cancer formation: its presence in a benign polyp or in its adjacent or far normal colorectal mucosa implicates that colorectal tumorigenesis process has already been initiated by a driver mutation, that an irreversible stage of the entire procedure has been established at the host mucosa, and, consequently, that the patient is at risk of developing cancer (51, 90). The quite possible mechanistic basis for this phenomenon is the inactivation of the ts gene APC.

In general, we identify a probable window in the current model, which may challenge the absolute domination of APC inactivation as the initiation event of sporadic colorectal tumorigenesis, but we don't dispute the predominant role of APC in the tumorigenesis process. We propose an alternative model, a possible variation of the current one, which may be valid in a subset of sporadic colorectal tumors. In this model, K-ras mutation may represent the driving force to cause APC loss or inactivation to occur and may be the prerequisite by which APC mutation exerts its action (Figures 3 and 4). Since K-ras mutation is very early and implies an irreversible step of the process, it might represent the springboard to accelerate it. Alternatively, since K-ras mutation and APC loss share the activation of common routes (i.e. Wnt/ β -catenin pathway is activated by K-ras (36) and the MAPK pathway is enhanced by APC) (43), K-ras and APC mutations may need each other to fully unfold their action. In other words, they exhibit interdependency (Figure 3). They may constitute a dipole; whichever member of the pair is destined to occur first, it activates inevitably the second pole, which acts complementary to the initial event and is a prerequisite for the complete action of the dipole to fulfill (Figures 3, 4). The fact that K-ras mutation may precede APC inactivation agrees with recent reviews that have placed K-ras as the initiating event of the process (14, 91).

In the multiple pathways with different starting points or initiators leading to the common end called sporadic colorectal cancer (14), we assume that the dipole [MAPK–Wnt/β-catenin] is virtually always the entrance gate by which the normal colorectum epithelium is transformed to adenoma. Since *APC* mutations are spread over a very large protein and, hence, quite difficult to identify (14), the easy-to-detect K-*ras* mutations are the obvious target to aim in our effort to decide whether a given bowel has initiated its course to cancer.

Why Detecting K-ras Mutation in the Non-cancerous Colorectal Tissue is Clinically Significant?

People with benign colorectal polyps are "obliged" to undergo frequent follow-up colonoscopies, in order to have detected and eradicated possible index benign polyps, since every adenoma, serrated or not, has the capacity for malignant transformation (54, 92). This strategy results in unnecessary colonoscopies; most adenomas don't progress to cancer (54), (the conversion rate is below 5%) (50), whilst a great gap is evident between the incidence of polyps (approximately 40%) (93) and that of CRC (nearly 6%) (1, 4). Trying to fill the gap, we propose the detection of K-ras mutation in benign polyps: its presence implies either the carcinogenesis process has been initiated, or its progress to an advanced state has started; whatever eventuality is true, K-ras mutation reveals that a non-reversible process is in evolution and this polyp carries increased risk for being transformed to cancer. Thus, K-ras mutation's detection inside benign polyps might prove a valuable biomarker for clinicians to select the population to be referred for frequent surveillance.

Unfortunately, it is sometimes difficult or even impossible to afford polyp tissue for molecular study, because of the inherent shortcomings of the process of colonoscopy (94). For instance, it may be hard to obtain tissue for molecular diagnosis from tiny polyps, because its restricted material is destined exclusively for histological diagnosis or because small polyps may not be visible in an inadequately prepared bowel. Moreover, polyps, especially small, flat and depressed, may be located in bowel curves, where it is hard to detect or approach. A subset of flat or laterally-spreading adenomas are endoscopically visible only in case advanced endoscopic techniques (e.g. narrow-band imaging) are used; advanced endoscopic technology is not available, however, in every Endoscopy Unit. The adenoma detection rate ranges from 7 to 44%, depending on the endoscopist's experience (94) and a recent study showed that 5%-32% of polyps are missed during colonoscopy, depending on their morphology (pedunculated or sessile), the preparation status of the bowel, the picture analysis of

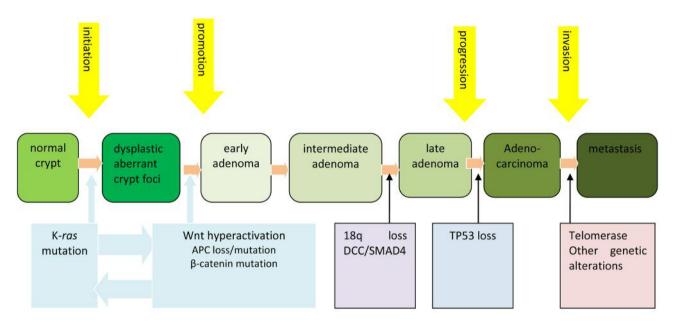


Figure 4. An alternative model proposed to occur in a fraction of sporadic colorectal cancers, a variation of the currently valid adenoma-carcinoma sequence: K-ras mutation, the first mutation in the Ras/Raf/MAPK cascade, takes place very early in the colorectal tumorigenesis process, aiding in the abnormal niche succession, the crypt fission, the formation of microadenoma or dysplastic aberrant crypt foci (ACF) and, therefore, contributes in the initiation of colorectal tumorigenesis. In parallel, by causing DNA damage and DNA damage response (DDR), by generating ROS and by unfolding the full arsenal of its tumorigenic capabilities, it hyperactivates the Wnt pathway, mainly by inactivating the tumor suppressor gene APC. In this way K-ras mutation is the triggering factor, the driving force that enhances sharply the proliferative effects of the Wnt pathway, being indispensable to Wnt pathway to fully exert its own actions. The hyperactivation of Wnt/β-catenin has two major results: first, it forces the conversion of ACFs (always monoclonal) to early adenomas (polyclonal in some 70-80%), promoting the benign, precancerous, stages of colon carcinogenesis process (low-grade dysplasia) and, second, it reinforces the MAPK pathway (being already locked on the RAS-GTP state) to rocket up its effects. The MAPK pathway needs actually this boom to accomplish its effects. In fact, the monoclonal adenoma would never follow its fate to become a real adenoma, in case APC inactivation (or equivalent mutations) should never occur; instead, it should abolish itself, representing an extra possible defensive mechanism by which human bowel is discharged by such a common, potentially dangerous, somatic mutation. By achieving their mutual activation, each of the 2 pathways needs one another to succeed; they use one another to act oncogenetically. Thus, they may constitute a theoretical dipole. The somatic mutation of the protooncogene K-ras is the initiating event, a prerequisite for APC loss/inactivation (which is the predominant genetic alteration is the whole process) and absolutely necessary for the building of this dipole. Even though monoallelic APC loss is the starting point, this event is insufficient to be the initiating point; it rather helps the migration of stem cells to the field where mutated K-ras acts, i.e. from the bottom to the villous domain of colon crypts, giving K-ras the chance to drive APC LOH, absolutely necessary for the initiation of the tumorigenesis. This model explains the higher proportion of K-ras mutation in the dysplastic ACF compared to colon carcinomas and the extreme variability of K-ras mutation rates observed in colon adenomas. In the subset of colorectal adenomas where this model might prove true, it would not be an exaggeration to claim that K-ras mutation is indeed necessary, and, probably, sufficient for the tumorigenesis to accelerate, opposite to the common belief, that it is neither sufficient nor necessary for the colorectal tumorigenesis process (based on references 12-15, 17-18, 20, 22, 36-40, 53-56, 91 and 98).

the colonoscope and the operator's characteristics (95). In these conditions, a precancerous lesion, possibly K-ras mutated, remain intact after a colonoscopy, where "all polyps were removed". Last, but not least, enormous polyps that are placed in a strangled bowel may be located beyond our reach. Whatever the exact cause of "failure" of detection, polyps may be overlooked during colonoscopy, making their total removal an elusive target.

In other cases, a polyp cut by polypectomy snare may become invisible, covered by the enteric content, and remain inside the bowel. Rarely, polyps are located in close proximity or in direct contact with diverticular openings; this condition deters endoscopists from removal or even biopsying them, because the risk of danger is high. Endoscopists are also obliged not to biopsy polyps that show tendency to bleed or polyps found in a bowel with active bleeding. In all these cases, molecular analysis of the normal colorectal mucosa from the same bowel which carries polyp/polyps that appear non-cancerous by endoscopic criteria is of the same value, since we demonstrated that K-ras status of the normal colon tissue could credibly predetermine the K-ras status of the coexisting benign polyp in case no cancer is present.

All K-ras mutated individuals, carrying the mutation inside their non-cancerous colorectal tissue, should be offered conventional endoscopic surveillance with increased

frequency. They should be first referred for high-definition white-light colonoscopy. In case endoscopic suspicions for a malignant or premalignant lesion are raised, advanced endoscopy techniques, as chromoendoscopy, narrow-bandimaging (NBI) - magnification endoscopy, cap-assisted colonoscopy or colonoscopy with the "third-eye retroscope" may be performed (94). They should de subjected to additional biopsies, targeted or not, to enable detection of other crucial mutations, e.g. on the ts genes APC or TP53. In case local experience is available, these patients may be offered probe-based confocal laser endomicroscopy (p-CLE) a method of in vivo histology mapping of the colorectal mucosa, as distinct morphological patterns which distinguish normal from malignant networks in colorectal mucosa are described (96). Equally important, life-style of these subjects should be modified in a very strict way (smoking cessation, avoidance of red meat-especially smoked, restriction of sugar and fat, increasing physical activity, losing weight, increasing the intake of dietary fibers, fruits, vegetables, fish and milk and complying with Mediterranean diet) (53).

Conversely, K-ras wild-type patients should follow an alternative strategy, because, as K-ras is not a germ-line mutation, its absence in the normal mucosa demonstrates that the process of carcinogenesis has not probably started yet (71). Nevertheless, follow-up of such persons is still necessary, depending on patient's clinical profile and risk factors, since K-ras mutation, although very important, is not necessary for colorectal tumorigenesis initiation (53, 74).

Our study has several limitations. Although lots of samples were examined, the number of patients is not large. The size of the control group is small, too. The absolute number of K-ras mutations in all normal tissue categories and the mean size of benign lesions are restricted. There was a small number of cases where simultaneous samples to cover the majority of the sequential stages of colorectal carcinogenesis process in the same patient were available. Last, our results are limited by the fact that, since many bowels are dirty during colonoscopy, there is always a considerable risk to "lose" a small or flat cancer. We should therefore not only look into the increased number of the future bowels that need to be examined, but also to the quality of the bowel preparation as well.

Conclusions and Future Perspectives

In a nutshell, K-ras mutation's value could stand beyond its established role to guide oncologists for advanced therapies or not (97). In a subset of sporadic colorectal carcinomas this mutation represents a very early critical genetic event, responsible for the initiation of tumorigenesis in normal colon epithelium. It might prove the "unknown factor" by which the tumor suppressor gene APC is inactivated; K-ras mutation probably achieves this goal, either by generation of ROS or

by causing DNA double strand breaks. Nevertheless, whatever mutation (APC or K-ras) comes first, both oncogenic pathways (Wnt and MAPK) are hyperactivated ultimately, behaving genetically as a dipole : Wnt/β-catenin pathway needs the MAPK pathway components to fully unfold its effects and, therefore takes advantage of them and MAPK pathway exploits analogously β-catenin and other components of the Wnt pathway. Since APC mutation or loss is not so feasible to detect due to technical limitations, it sounds sensible to search for the other pole of the dipole, i.e. mutation in K-ras, since the access to the normal or/and to neoplastic colorectal tissue is easy via colonoscopy. Its presence in benign polyps and/or in normal tissues reveals that an irreversible process is in progress. Therefore, K-ras mutation can be seen as a prelude to sporadic colorectal cancer, although neither obligatory nor sufficient for colon carcinogenesis.

Searching for K-ras mutation in the benign colorectal polyps and/or their concomitant normal colorectal mucosa during colonoscopy may prove a useful strategy to aid clinicians, endoscopists and health systems to restrict the surveillance group in the population who really needs it. Benign polyps and their concomitant normal tissues, which carry K-ras mutation and received from a bowel without cancer, hold a high risk to transform to colorectal cancer equal as if the bowel carried cancer. Clinicians might advise for conventional or advanced endoscopic surveillance those whose benign polyps are K-ras mutated. If benign polyp tissue sampling is ineffective, endoscopists should advise those whose normal colorectal mucosa is K-ras mutated to adopt a strict follow-up programme, since K-ras status in the normal colorectal tissue reliably predicts K-ras status in the coexisting polyp or polyps. K-ras mutation, albeit some decades in the forefront of colorectal tumorigenesis, may become nowadays an important biomarker, clinically useful, capable to motivate doctors and patients to the necessary follow-up and, therefore, endoscopists should be encouraged to be alert for searching this mutation. Future studies with greater numbers of patients may confirm our data and should investigate the specificity, the sensitivity and the diagnostic accuracy of such a strategy. Last, they should study whether this strategy reduces the rate of interval cancers and whether it prevents colorectal cancer, at least in a proportion of patients.

Conflicts of Interest

The Authors declare no conflicts of interest.

Acknowledgements

This work was supported by materials and reagents of the Histology-Embryology Department of the Medical School of the National and Kapodistrian University of Athens, Athens, Greece.

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Received May 10, 2017 Revised May 18, 2017 Accepted May 22, 2017