

Antigen Presentation by Dendritic Cells and their Significance in Antineoplastic Immunotherapy

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Abstract. Dendritic cells (DCs) are present in essentially every mammalian tissue, where they operate at the interface of innate and acquired immunity by recognizing pathogens and presenting pathogen-derived peptides to T lymphocytes. According to the research group of Shortman (1-9), experimental results suggest a "dual" DC differentiation model, demonstrating the existence of both myeloid-derived (with characteristic IF: CD11b⁺, CD11c⁺, CD8^{alpha}- and DEC205⁺) and lymphoid-derived DCs (showing CD11b⁻, CD11c⁻, CD8^{alpha}⁺ and DEC205⁺ IF). DCs, including interdigitating cells (IDCs) and Langerhans cells (LCs), are characterized by dendritic morphology, high migratory mobility and are the most effective, "professional" cells for antigen presentation in primary immune responses. Most of the DCs express immunocytochemically detectable antigens like: S-100, CD1a, CD40 receptor, adhesion molecules (ICAM-1 or CD54, LFA-1 and LFA-3), integrins (CD11a, CD11c and CD18), CD45, CD54, co-stimulatory molecules (B7-1 or CD80, B7-2 or CD86), F418, MHC class I and II and DEC-205, multilectin receptor, immunostimulatory cytokine (IL-12) and, of course, Fc and complement receptors. Following recognition and uptake of antigens, mature dendritic cells (DCs) migrate to the T lymphocyte rich area of draining lymph nodes, display an array of antigen-

derived peptides on the surface of major histocompatibility complex (MHC) molecules and acquire the cellular specialization to select and activate naive antigen-specific T lymphocytes. Immunotherapeutic ideas are based on the ability of the mammalian immune system to recognize neoplastically transformed cells. Immunotherapy of human neoplasms has always represented a very attractive fourth-modality therapeutic approach, especially in light of the many shortcomings of conventional surgical, radiation and chemotherapies in the management of neoplastically transformed cells. The cancer vaccine approach to therapy is based on the notion that the immune system could possibly mount a rejection strength response against the neoplastic cell conglomerate. The efficiency of DCs for T lymphocyte stimulation moved a number of research groups to develop DC-based immunotherapy approaches (10). The failure of cancer vaccines may be attributed to the relationship between host and neoplasm: through a natural selection process, the host facilitates the selective enrichment of clones with highly aggressive neoplastically transformed cells, being in various stages of differentiation and only during certain stages express neoplastic cell specific molecules.

The dendritic cell network

Immunophenotypically and functionally heterogeneous DCs are distributed throughout the human lymphatic and the majority of non-lymphatic tissues and represent the most potent, "professional" antigen presenting cell meshwork (APCs), functioning as an integral part of the immune system (1-9). Folliculo-stellate cells (FSC) in the anterior pituitary (AP), Langerhans cells (LCs) in stratified epithelia such as the skin, oral cavity, upper airways, urethra, female reproductive tissues and lymphatic system (11), "veiled" cells, lympho-dendritic and interdigitating cells (IDCs) in a number of tissues comprising the lymphatic system are the cell types of the DC meshwork. Most of these cells express the immunocytochemical markers S-100, CD1, CD45, CD54, F418, MHC class I and II antigens, Fc and complement receptors.

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Immunophenotype (IP) differences among DCs have been detected employing immunocytochemistry. Bednar (12) studied the immunoreactivity of resident DCs in twenty tissues, including lymphatic, skin, bone, soft, nervous, myocardial, lung, esophagus, stomach and intestinal, among others, employing antibodies against CD34, F XIIIa, F VIII, actin, CD68, S-100 protein, HLA-DR, CD3 and OPD4. Three characteristic immunoreactivities of resident DCs were established: a CD34⁺ subset of cells, a CD68⁺ subset of cells which were phagocytic, and a S-100⁺ subset of cells. The ability of dendritic cells (DCs and LCs) in epithelial tissue structures to interact on a cell to cell level with epithelial cells was also noted (in the thymic microenvironment), which may provide these cells with increased regulatory functions. DCs can also provide all of the known co-stimulatory signals required for activation of unprimed T lymphocytes and are the most effective, specialized APCs in the induction of primary T lymphocyte-mediated immune responses (13,14).

Interdigitating cells (IDCs). Interdigitating cells (IDCs) are specialized, bone marrow derived DCs, located in T cell domains of various human tissues (15-18). Von Gaudecker and Müller-Hermelink (19) morphologically identified the IDC precursors, originally discovered in the human thymus by Kaiserling and co-workers (20) (first appearance during the 10th week of intrauterine life). The same authors described the TEM characteristics of IDCs in an 85 mm long (3rd lunar month) human fetus:

"Large electronlucent cells with irregularly shaped nuclei are found in both the mesenchymal septa and in the presumptive medullary regions of the thymus primordium. These cells appear to be precursors of the IDCs, which have been described in the medulla of the prenatal thymus."

When the already committed, lympho-hematopoietic progenitors come into contact with these IDC precursors, the IDCs develop small finger-like protrusions to assure more efficient contact between these cell types.

A histochemical osmium-zinc iodide impregnation procedure has been reported for the identification of IDCs on semithin sections (21). IDCs in such a preparation appear as large dendritic elements containing numerous cytoplasmic protrusions with established structural contacts with lymphatic cells. Differentiating thymocytes have also been observed to be in intimate contact with IDCs. Kaiserling (20) was the first to describe this significant intercellular contact: "The surfaces of the IDC were in close contact with those of small lymphocytes, sometimes polysomal lymphatic cells, epithelial cells, and occasionally with those of lymphatic cells containing ergastoplasm." As stated above, IDCs are large cells with several cytoplasmic

processes, which form an extensive three-dimensional network that envelopes maturing thymocytes (22). Aggregates between IDCs, differentiating thymocytes and functionally complete T lymphocytes represent the specialized microanatomical and functional units within the thymic microenvironment that are crucial for T lymphocyte maturation and education (22).

The most significant TEM features of IDCs are an irregularly-shaped, euchromatic nucleus, with very loosely arranged chromatin, except for a small rim of heterochromatin along the inner surface of the nuclear envelope and a nucleolus (not readily visible in all cases), often in an eccentric position. The cytoplasm contains single or groups of flat cisternae of the rough endoplasmic reticulum. Secretory vesicles are always present near the tubules of the Golgi complex. A number of mitochondria are distributed throughout the cytoplasm. Their characteristic cytoplasmic projections regularly "*interdigitate*" with other IDCs and several other cell types in the developing thymic medulla. One of the most important characteristics of IDCs remains that they are never connected to each other or to other cells by desmosomes (23-25). Immunocytochemical observations established the expression of MHC class I and II molecules, as well as several adhesion molecules, including intercellular adhesion molecule-1 (ICAM-1), and lymphocyte function-associated molecules (LFA-3) on the surface of IDCs (26,27).

The well determined localization of IDCs within the thymus provides significant insight into their function. IDCs have been described, in all of the many observations (including our material in dogs), to be located predominantly at the level of the thymic cortico-medullary junction, as well as in the deep cortex, but never in the subcapsular region. In guinea pig thymuses, Klug and Mager (28) found IDCs located mostly in the inner cortex, with kidney-shaped nuclei (with finely dispersed chromatin) and a thin layer of marginally located heterochromatin. The nucleolus in these cells was usually eccentric and small. These IDCs also contained all of the organelles in their cytoplasm, including lysosome-like bodies, and few ergastoplasmic and tubulovesicular structures. The most interesting cytoplasmic features were large electron-dense bodies (phagosomes) containing fragments of picnotic lymphocytes. Many finger-like processes, for contact with other thymic cell types, were also observed. Glycoprotein synthesis by IDCs has been discussed, but contact with thymocytes has always been described as their main physiological function.

The phagocytic activity of IDCs is not of great importance when compared to macrophages, but still numerous ingested cells can be present in their cytoplasm. Klug (29) described such findings following irradiation of the rat thymus. Duijvestijn and co-workers (30-32) observed

the phagocytic activity and the population development of medullary IDC and cortical macrophages following irradiation-induced acute tissue necrosis in the thymus. IDCs clearly demonstrated phagocytic activity sixteen hours after treatment, but this activity could be attributed to the fact that, at this stage, the number of necrotic thymocytes was maximal and the total number of phagocytic cells was few and, thus, IDCs were recruited to phagocytize the necrotic material. In discussing their findings, the authors speculated on the possible similarities between IDCs and epidermal Langerhans cells. Miyazawa and co-workers (33) reported a twelve-fold increase in the thymic macrophage population in mice eight hours after 3 Gy irradiation. The mammalian cell surface is rich in acidic sugars, such as sialic, hyaluronic and chondroitin acids. The surface charges caused by these and similar molecules play an important role in cell to cell interactions, such as phagocytosis. The negative surface charge of irradiated thymocytes was found to be enhanced within a few hours, as illustrated by the attachment of these cells to esterase positive thymic phagocytic cells through the reduction of the electrostatic repulsive forces. The irradiated thymocytes may thus be recognized as foreign proteins (antigens) and phagocytized by thymic phagocytic cells. Higley and O'Morchoe (34) published a morphometric analysis of the thymic non-lymphoid cells within the medulla of rats, between the ages of one and 65 days. They found that the largest depot of thymic non-lymphoid cells is within the medulla or the so-called central part. They described the presence of macrophages, IDCs and LCs at this location.

Von Gaudecker and Müller-Hermelink (19) mentioned diaporesis from the mesenchymal septa and perivascular spaces as a possible mechanism of IDC entrance into the thymus. Once inside the thymus, these IDCs construct a cellular microenvironment at the cortico-medullary junction that is necessary for the migration pattern, differentiation and maturation of thymocytes into T lymphocytes (19,35,36). Monoclonal antibodies (MoABs) reactive with thymic macrophages and IDCs have been developed using these particular cell types isolated from peripheral lymphatic organs (Mac-1, Mac-2 and ER-BMDMI for both macrophages and IDCs; F4/80, BM8, MOMA-2 exclusively for macrophages; and NLDC-145, MIDC-8, M1-8 and ER-TR6 only for IDCs). IDCs have, however, never been identified in B lymphocyte regions.

Langerhans cells (LCs). Another type of DC within the developing cellular microenvironment of various tissues are the so-called Langerhans cells (LCs). Thoughts concerning the origin and function of LCs have historically been based on two differing hypotheses. One group of investigators maintained that LCs perform neural functions (37-44), while others followed Masson's (45) theory that regarded LCs as

the last stage in the life cycle of an active melanocyte (the theory of the "worn out effect" melanocyte) (46-48). LCs have also been described as lymphatic cells that are capable of forming antibodies (49,50). The limited degree to which LCs phagocytize foreign particles suggests that, under normal conditions, this does not represent their primary function. Tissue culture experiments established that murine epidermal LCs can mature into potent immunostimulatory DCs (51). The necessity of GM-CSF to ensure the *in vitro* viability and function of epidermal LCs has also been described (52). Novel experimental evidence identified that the LCs originate from the CD34⁺ hematopoietic stem cells located in the yolk sac, liver and later in bone marrow (53). *In vitro* stimulation of CD34⁺ cells with GM-CSF and TNF- α led to their rapid proliferation and differentiation into a cell clone with the following IP: CD45⁺/CD68⁺/CD3⁻/CD19⁻/CD56⁻ and also expressing CD1a, CD4 and MHC class II. The CD1a⁺ cells included three cell populations: 1) LCs identified by the presence of Birbeck granules; 2) Birbeck granule negative DCs; and 3) CD14⁺ monocytes. The addition of IL-4 interfered with the generation of monocytes, but also resulted in an increased percentage of CD1a⁺ LCs (<24%) that are potent stimulators of the primary mixed leukocyte reaction and, as such, are promising candidates for the generation or augmentation of host responses against different pathogens following vaccination. Studies in the last decade have identified the lymphoid origin of LCs (11,54-57). Lymphoid committed, CD4^{low} stem cells, as well as CD25⁺ and CD44⁺ pro-T lymphocyte progenitors are capable of differentiating into T lymphocyte, B lymphocyte, natural killer (NK), CD8⁺ DC and LC lineages in many mammalian tissues, including the epidermis.

The presence of these specialized DCs in the epidermis was first described in 1868 by Paul Langerhans following gold chloride staining of skin sections (58). It was not until 1973 that the first experimental evidence for antigen presentation by LCs was reported (59). Today it is well known that human LCs are CD1a⁺ DCs that function as very potent APCs for primary and secondary immune responses (53). TEM observations of LCs were first reported by Birbeck and co-workers (60) who described these cells as DCs that can be distinguished by a relatively clear cytoplasm, a lobulated nucleus, cored tubules and unique organelles, and the so-called Birbeck or LC granules. Although the LC granule is the ultimate marker for these cells, the same granules have occasionally been detected inside phagocytic vacuoles within activated macrophages (61-64). In addition, IDCs also occasionally possess Birbeck granules, which serves as the basis for the similarity between LCs and IDCs. Birbeck granules originate from the cell membrane and play a role in receptor-mediated endocytosis, intracellular processing and presentation of CD1a, MHC class II, granule-associated

marker (Lag) and other antigens (65-68). The notion that LCs may represent epidermal macrophages has also been expressed (69,70).

LCs have also been reported within the rat thymic microenvironment (71-74). Olah and co-workers (71) described them as cells of a special type within the thymic medulla:

"...new kind of cells, found in the medulla of the rat thymus is described. The special structure of their cytoplasm which points to intensive cellular activity, as well as the characteristic granules contained in these cells, justify their classification as a separate cell type. The cells in question should be distinguished from the cells contained in the epithelial reticulum of the thymus, from the macrophages containing phagocytosed fragments and lysosomes as also from those cells whose granules include a rod-shaped dark structure. It is, on the other hand, possible that the electron-microscopically observed cells of the present study are identical with Ito's inclusion cells (1959)."

As noted previously, the presence of these same cytoplasmic granules has been a defining characteristic of LCs of the skin (75,76).

In routine tissue sections stained with hematoxylin-eosin (HE), LCs cannot be identified. However, they can be easily demonstrated by impregnation with gold salts (74,77,78). Histochemically, the ATPase method has proved most useful for the detection of LCs, provided that proper fixation and cutting techniques are employed. This reaction seems to be specific for LCs.

There are questions concerning the specificity and significance of the LC granules. Hashimoto (70) in his histoenzymatic study described that the granules, not only those attached to the plasma membrane, but also those entirely enclosed within the cytoplasm, were permeated passively by the lanthanum complex during a post-vital incubation procedure. These results suggest that these intracytoplasmic granules have a direct connection with the extracellular spaces. In his opinion, the granules are endocytic in nature, but he discussed whether these typical organelles may also be involved in the secretory functions of LCs. The extrusion of specific molecules from the interior of the LCs into the intercellular space within the thymic microenvironment may be the mechanism by which these DCs are involved in the regulation of thymocyte differentiation and also provides a way for interaction induction between DCs and RE cells located in the medulla.

Just last year, Plzak and colleagues (79) defined the molecular structure of the Birbeck granules in LCs: "In LCs langerin (CD207), a type II transmembrane protein with a single C type carbohydrate recognition domain attached to

a heptad repeat in the neck region, which is likely to establish oligomers with an alpha-coiled-coil stalk, has been implicated in endocytosis and the formation of Birbeck granules. The langerin structure harbours essential motifs for Ca²⁺-binding and sugar accommodation". It is significant that the access to the carbohydrate recognition domain of langerin is impaired in tissues showing high cell proliferative activity (*i.e.* neoplasms).

Rowden (80-82) described the expression of Ia-like antigens on the surface of LCs. The relationship between LCs and IDCs in the T lymphocyte areas of lymph nodes and spleen (83), the dendritic reticulum cells of germinal centers (84) and other areas of the spleen (85) appears to lie in their common function in antigen presentation rather than in antigen processing because when trypsin-treated LC suspensions were observed, C3 receptors were detected on LCs in humans (75,76). Trypsin has been reported to destroy C3 receptors on B lymphocyte surfaces, but Berman and Gigli (86) have found that, as in macrophages, this receptor is not sensitive to enzyme digestion in LCs.

It is known that, in mice, the H-2 system is on chromosome 17 and has two parts: an H-2K and an H-2D region, comparable to human HLA-A and HLA-B. These regions code for a set of serologically detectable cell surface alloantigens, known as the Ia antigens. These have α and β polypeptide subunits of approximately 33kD and 28kD, respectively, and they lack a common β_2 -microglobulin subunit (on primate B cells, HLA-DR antigens). In mice, the distribution of Ia antigens is as follows: I-A, I-E and I-C region products are present on B cells, macrophages, sperm and fetal liver cells, but not on T cells (87). Certain subsets of T cells, however, appear to possess excess products of the I-J region (suppressor cells) and it has also been shown that a high percentage of the cells in the epidermis express Ia antigen (30-90%) (88). Quantitative absorption studies have found that these cells express 2-4 times less Ia antigen than B lymphocytes (88,89). The investigations of Rowden (80,81) demonstrated that only LCs express HLA-DR antigens on their surface in human skin. Naturally, the expression of MHC (Ia) antigens on the LCs have a physiological role, but it has yet to be studied in great detail. Perhaps in skin, LCs play a role in the recognition of viral and microbial intrusions into the body. Viruses have been found within LCs (90), but it is not known whether viral antigens are displayed in association with the Ia antigens. In recent experiments it was demonstrated that a single ultraviolet solar-simulated radiation (UV-SSR) exposure of mammalian skin induced a dose-dependent reduction in LC density with only slight morphological alterations of the other skin cells (91). The location of LCs was modified; they were present in the spinous rather than in the usual suprabasal layer. Morphologically a cell body rounding and significant

reduction of dendricity was identified. All these alterations at cell and tissue level in the skin may impair the antigen presenting function of LCs and the efficacy of immune responses.

The classical experiments of Katz and co-workers (92) on radiation chimeras and the investigations by Frelinger and co-workers (93) show that bone marrow grafting with appropriate strain differences in the Ir gene region permit the tracing of the arrival of Ia+ cells in the epidermis. Since bone marrow transplantation is now a clinical procedure in the treatment of patients with various pathologic hematologic conditions, there must be many examples of the repopulation of LCs in the organism. A detailed study of these transplantations should prove illuminating since graft-versus-host (GvH) disease is a common complication in such procedures. Although LCs may arise from bone marrow monoblasts, nothing is known concerning the relative pool sizes of other possible precursor cells. Evidence is accumulating of the existence of cells within the lymph nodes and spleen with structural, antigenic and functional characteristics similar to LCs (35,94-96). These localizations of LCs also represent a symbiotic union of epithelial (in origin) and mesenchymal cells, but the intimate role played in T cell maturation and in phagocytosis is not yet clear.

Folliculo-stellate cells (FSCs). Folliculo-stellate cells (FSCs) are a key set of DCs in the AP that are involved in cell to cell interactions and regulations between the endocrine and immune systems (97-99). The stellate-shaped FSCs are organized in a cellular network in the AP and are positive for S-100, produce interleukin-6 (IL-6) and are in intercellular contact with hormone producing cells, their stimulation generally resulting in an increase in secretory responses (98,100-103). The presence of a network of lymphoid DCs, expressing a lymphoid DC specific aminopeptidase and MHC class II determinants, has been reported in mouse, rat and human pituitaries (104). Since S-100 immunoreactivity is also typical of lymphoid DCs (105), the subpopulation of S-100-positive pituitary FSCs may represent members of this class of DCs. This would mean that the pituitary FSCs derive from three distinct anlagen: neuroectodermal, ectodermal (oral anlage) and mesenchymal (lymphoid anlage). The multiple ontogenetic origin of the pituitary FSCs and their intermingling with lymphoid DCs allows us to distinguish a DC-FSC cell population at the level of the AP. Since these cells form a morphologically distinct cellular network and since a close functional inter-relationship between the cell groups has been documented (*i.e.* the synchronized increase of S-100 protein and MHC class II determinants during ontogenesis), it is probably better to consider them as a distinguishable cell clone. The increased levels of S-100 and MHC class II

antigen expression in the pituitaries of autoimmune-prone BB/R rats raises further questions concerning a possible involvement of DC-FSCs in the development of autoimmune endocrine disorders (106). Several cytokines are now known to influence the release of AP hormones by acting on the hypothalamus and on the pituitary gland. IL-1, IL-2, IL-6, TNF- α and IFN-tau are the most important cytokines involved in the stimulation of the hypothalamic-pituitary-adrenal axis and the suppression of the hypothalamic-pituitary-thyroid and gonadal axes, as well as the release of growth hormone (107). The effects of acute and chronic (systemic) diseases on growth regulation, thyroid, adrenal and reproductive functions may, at least partially, be explained by the numerous important interactions between the neuroendocrine and immune systems.

Antigen presentation by DCs

Dendritic cells patrol throughout the peripheral blood, lymph and peripheral tissues, including secondary lymphatic organs (108). Processing exogenous and endogenous proteins for presentation by MHC molecules to T lymphocyte's receptor repertoire is the defining function of "professional" antigen-presenting cells (APC) as major regulatory cells in antigen-specific immune responses (109,110). DCs responds to two types of "signals": 1) direct recognition of foreign antigen, pathogens (called "danger signal") through specific receptors (named pattern recognition receptors) and 2) indirectly sensed inflammatory mediators such as TNF-alpha, IL-1beta, PGE-2 of infection. Both of these two pathways induce a well integrated program called "maturation process" which transforms peripheral DCs into the most efficient APCs and T lymphocyte activators (111-113). In a detailed review the research group of Guemronprez (108) functionally characterized five types of surface receptors triggering the maturation process in DCs: 1) toll-like receptors - TLR2 to TLR4 (114,115); 2) cytokine receptors; 3) TNF receptor family molecules; 4) receptors for immunoglobulins (most of FcR) by immune complexes or specific antibodies (116-118); and 5) sensors for cell death. T cells through CD40-dependent and independent signaling and endothelial cells *via* cell to cell contacts and secretion of cytokines help in the maturation of DCs (119,120).

The complex process of antigen uptake, internalization by receptor-mediated endocytosis, degradation and specific loading on MHC class I and II molecules was named "antigen presentation". DCs present complexes between peptides derived from exogenous antigens and MHC antigens expressed on their surfaces to resting T cells, thereby initiating several immune responses such as the sensitization of MHC-restricted T lymphocytes, the rejection of organ transplants and the formation of T-dependent antibodies (121-126).

The T lymphocytes are capable of recognizing a number of self and non-self antigens, but they also need an immunostimulatory microenvironment to become activated and initiate an immune response (127-130). CD4⁺ and CD8⁺ T lymphocytes can initiate a systemic immune response when the TAAs are presented to them in the form of short peptides connected to the surface of MHC class I and II molecules together with costimulatory B7 or other molecules (127,131,132). MHC class II-restricted antigen presentation to CD4⁺ T lymphocytes is achieved by an essentially common, multimolecular pathway, the so-called "immunological synapse" (133-136). This multimolecular interaction is subject to variation with regard to the location and extent of degradation of protein antigens and the site of peptide binding to MHC class II molecules (110). To provoke a CD4⁺ lymphocyte activation, antigens connected to MHC class II molecules (cytoskeletally accumulated) should be presented together with costimulatory molecules (B7.1, B7.2), in case of APC interaction with CD28 in lymphocytes and adhesion molecules (usually ICAM-1), if the APC lymphocyte interaction is fulfilled *via* lymphocyte function associated antigens 1 and 3 (LFA-1 and LFA-3) (137). At the same time, activated CD4⁺ lymphocytes are able "to help" or activate maturing (licensing) APCs, a functionally ready stage, directly to initiate CD8⁺ lymphocytes. This activation step requires the presence of CD40 receptor on the APCs and the CD40-ligand (CD40-L or CD154), expressed on the surface of CD4⁺ lymphocytes (133,134). The same results can be achieved employing a similar interaction between TRANCE, present on the surface of activated CD4⁺ T lymphocytes and the TNF superfamily receptor RANK, expressed on APCs (138,139). There are a number of "molecular couples" that influence DC and T lymphocyte interaction during antigen presentation: CD11/CD18 integrins, CD80/B7-1, CD86/B7-2 and heat-stable antigen (139). Antigens presented by MHC class I molecules on previously activated APCs to CD8⁺ lymphocytes is recognized by the T lymphocyte receptor (TCR). After the TCR-antigen interaction, cytotoxic lymphocytes (CTLs) recirculate through lymphatic organs and peripheral tissues to initiate the death of cells expressing the same antigen (139-141).

DEC-205 (gp200MR6) is a type I protein, the multilectin receptor for adsorptive endocytosis mostly expressed on DCs (including IDCs and LCs) in T lymphocyte areas of lymphatic tissues, but also, at low levels, on macrophages and T lymphocytes and on the cortical reticulo-epithelial (RE) cells of the thymic cellular microenvironment (142-145). The results indicate that thymic RE cells participate in clearance of apoptotic thymocytes through the DEC-205 protein. DEC-205 belongs to a family of C-type multilectins that also include the macrophage mannose receptor (MMR) and the phospholipase A₂ receptor (PLA₂R) (146). The antigen-

presentation function is associated with the high-level expression of DEC-205, an integral membrane protein homologous to the MMR, and related receptors that are able to bind carbohydrates and mediate endocytosis. DEC-205 and MMR can serve as potential antigen-uptake receptors. After binding to DEC-205, antigens (proteins) are internalized, processed and presented in a complex with MHC class II molecules to CD4⁺ lymphocytes (147). A number of receptors for endocytosis enter into and out of cells through early endosomes. New research defined that the DEC-205 receptor targets late endosomes or lysosomes rich in MHC II products, whereas the homologous macrophage mannose receptor (MMR), as expected, is found in more peripheral endosomes.

It is well known that not all C-type (type II) lectins on DCs serve as antigen receptors recognizing pathogens through carbohydrate structures. The ICAM-3-grabbing, non-integrin, type II lectin DC-SIGN is unique in that it regulates adhesion processes of interstitial DCs, such as DC trafficking and T-cell synapse formation, as well as antigen capture (148-150). DC-SIGN not only captures HIV-1 but instead protects it in early endosomes, allowing HIV-1 transport by DCs to lymphoid tissues, where it enhances transinfection of T lymphocytes. This recent article discusses the carbohydrate/protein recognition profile and other features of DC-SIGN that contribute to the potency of DCs to control immunity. Another type II lectin, the langerin, induces the formation of a unique endocytic compartment of LCs, the so-called Birbeck granules (148).

Proteasomes are multisubunit enzyme complexes that reside in the cytoplasm (mostly in the endoplasmic reticulum) and nucleus of eukaryotic cells. Employing selective protein degradation, proteasomes regulate a number of cytoplasmic biochemical functions including MHC class I antigen processing (151). Three constitutively expressed catalytic subunits are responsible for proteasome-regulated proteolysis. These subunits are exchanged for three homologous subunits, the immunosubunits, in IFN γ -exposed cells and in cells with "professional" and specialized antigen presenting function. Both constitutive and immunoproteasomes degrade the endogenous proteins into small peptide fragments that are capable of binding *via* specialized transporters TAP1 and TAP2 to MHC class I molecules for presentation on the cell surface to cytotoxic T lymphocytes. However, immunoproteasomes seem to fulfil this function more efficiently. IFN γ further induces the expression of a proteasome activator, PA28, which can also enhance antigenic peptide production by proteasomes. The ubiquitin-proteasome system also plays an important role in antigen presentation. However, unfortunately, neoplasms employ different ways to target the proteasome system to avoid MHC class I presentation of their CAAs.

Neuroendocrine-immune interactions in antigen presentation. During mammalian ontogenesis, the thymic "pure" endodermal epithelial anlage develops and differentiates into a complex cellular and humoral microenvironment. Beginning the 7-8th week of intrauterine development, thymic reticulo-epithelial (RE) cells chemotactically regulate (induce) numerous waves of migration of stem cells into the thymus, including the CD34⁺, yolk sac-derived, pluripotent hematopoietic stem cells (152). *In vitro* experiments have established that CD34⁺CD38^{dim} human thymocytes differentiate into T lymphocytes when co-cultured with mouse fetal thymic organs. Hematopoietic stem cells for myeloid and thymic DCs, IDCs and LCs are present within the minute population of CD34⁺ progenitors in the mammalian thymus. The RE cells express the DEC-205 receptor that is so important for antigen presentation. In addition, DCs and specialized epithelial tissue structures (such as "the nursing" thymic epithelial cells - TNCs) may also be involved in direct, cryptocrine-type cell to cell interactions with the epithelial cells of the thymus. TNCs regulate the development of immature thymocytes into immunocompetent T lymphocytes by *emperipolesis*, a highly specialized form of cell-cell interaction in which immature thymocytes are engulfed by large thymic reticulo-epithelial (RE) cells. TNCs *in vitro* are capable of rescuing an early subset of CD4⁺CD8⁺ thymocytes from programmed cell death at 32°C, the temperature at which binding and internalization were identified. This thymocyte subpopulation later matured into a characteristic IP at the double-positive stage of T lymphocyte differentiation that is indicative of positive selection. Antigen presentation by thymic RE cells to thymocytes that undergo differentiation is one of the most important events in the selection of the T lymphocyte repertoire (153).

Interactions between the neuroendocrine and immune systems have been reported in various regions of the mammalian body including the anterior pituitary (AP), the skin and the central (thymus) and peripheral lymphatic tissue (18). The network of bone marrow derived DCs is part of the reticuloendothelial system (RES), with DCs representing the cellular mediators of these regulatory endocrine-immune interactions. Folliculo-stellate cells (FSCs) are non-hormone secreting cells that communicate directly with hormone producing cells, which is a form of neuro-endocrine-immune regulation. As a result, an attenuation of secretory responses follows stimulation of these cells. FSCs are also the cells in the AP producing interleukin-6 (IL-6) and have been identified as the interferon- γ responsive elements. FSCs express lymphatic DC markers, such as DC specific aminopeptidase, leucyl- β -naphthylamidase, non-specific esterase, MHC class I and II molecules and various other lymphatic immunological determinants [platelet derived growth factor- α chain (PDGF- α chain), CD13, CD14 and L25 antigen]. There is strong evidence that such DCs in the AP,

and similar ones in the developing thymus and peripheral lymphatic tissue, are the components of a powerful "professional" antigen-presenting DC network. These APCs contain a specialized late endocytic compartment, MIIC (MHC class II-enriched compartment), that harbors newly synthesized MHC class II antigens *en route* to the cell membrane. The limiting membrane of MIIC can fuse directly with the cell membrane, resulting in the release of newly secreted intracellular MHC class II antigen-containing vesicles (exosomes). DCs possess the ability to present foreign peptides complexed with the MHC molecules expressed on their surfaces to naive and resting T cells. There are a number of "molecular couples" that influence DC and T lymphocyte interaction during antigen presentation: CD11/CD18 integrins, intercellular adhesion molecules (ICAMs), lymphocyte function associated antigen 3 (LFA-3), CD40, CD80/B7-1, CD86/B7-2 and heat-stable antigen. The "molecular couples" are involved in adhesive or costimulatory regulations, mediating an effective binding of DCs to T lymphocytes and the stimulation of specific intercellular communications. DCs also provide all of the known costimulatory signals required for activation of unprimed T lymphocytes. It has been defined that DCs initiate several immune responses, such as the sensitization of MHC-restricted T lymphocytes, resistance to infections and neoplasms, rejection of organ transplants and the formation of T-dependent antibodies.

Neuroimmunologic aspects of skin inflammation are also regulated by several interacting systems (154). The modulating influence of autonomic and sensory nerves has been known for a long time. Neurokinines derived from these nerves have recently been shown to interact with antigen presentation in dermal LCs and other key functions of allergic skin disease. While some connections between the afferent function and local reflex are known, the nature of efferent regulatory effects (from brain to periphery) remains to be discovered. New research topics include the involvement of the brain-derived neurotrophic factor, in addition to the autonomic nervous system in mental stress response and insight in to the immunomodulation by proopiomelanocortins.

Materials and Methods

Tissue processing. The *in vitro* protocols described below were conducted using human postnatal thymic tissues obtained at the time of corrective cardiovascular surgery from 76 patients between the ages of 6 months and 10 years. The tissue samples were stored in RPMI 1640 (Gibco Labs., Grand Island, NY, USA) medium under sterile conditions. Thymic tissues were turned into cell suspensions for *ex vivo* IP studies.

Preparation of thymic peripheral blood and bone marrow cell suspensions. To minimize cellular autolytic changes, the fresh thymic tissue from the RPMI 1640 medium was placed in phosphate, buffered saline (PBS, Gibco) within 10-30 minutes of being received

and the experimental protocol was carried out. The thymus from each patient was pooled and dissociated after removal of the capsular and pericapsular connective tissue, fat and debris. The cleaned tissue pieces were minced with cross blades until no gross pieces remained. The PBS diluted cell suspension contained $2-5 \times 10^9$ cells/ml of undifferentiated cortical thymocytes, individual or clusters of RE cells, TNCs and other thymic cell complexes, Hassall's bodies (HBs), non-RE thymic stromal cells (DCs such as LCs and IDCs), and other accessory cells, such as macrophages and fibroblasts.

Isolation of cortical thymocytes. The thymic cell suspensions contained a large number of cells that are easily dissociable from the cortex by mechanical means. These immature cortical thymocytes were in various stages of T lymphocyte differentiation. To use the conventional Ficoll-Hypaque (Ficoll-Paque, Pharmacia Fine Chemicals, Piscataway, NJ, USA) density gradient isolation, the original thymocyte suspension was diluted at least 10 times. After isolation, a portion of the thymocytes, peripheral blood or bone marrow cells ($2-5 \times 10^5$ cells/ml) was suspended in RPMI 1640 medium and treated with Tris-buffered ammonium chloride (Sigma, St. Louis, MI, USA) to lyse the contaminating erythrocytes. Following this procedure, they were cultured using 24-well, flat-bottomed tissue culture plates (Falcon Labware, Division of Becton-Dickinson, Oxnard, CA, USA) and RPMI 1640 medium, enriched with the desired combinations of growth factors, mitogens, colony stimulating factors and the appropriate cytokines, depending on the experimental aims. After 3 days in culture, the thymocytes were transferred into T25 culture flasks (Falcon). When one μ l rIL-2 (generously provided by Cetus Corporation, Emeryville, CA, USA) was added to the culture, the proliferation rate remained high for the first 2-3 *in vitro* weeks. After this period the thymocytes were restimulated every second week, using the combined action of a mitogen (one μ g/ml PHA) (Wellcome Diagnostics, Dartford, England) and a cellular microenvironment rich in cell secreted growth factors, and antigens provided by irradiated (3.6-4 Gy) peripheral mononuclear cells (PMNC) as "feeder cells", obtained from blood donor's "buffy coats" (target:feeder cell ratio 1:5). The thymocyte cultures were examined daily, the cell numbers counted and optimal *in vitro* conditions were maintained. The presence and number of proliferating thymoblasts (lymphoblasts) was carefully recorded. Prior to culture enzymatic thymic tissue digestion, we were unable to induce thymocyte proliferation by using any combination of mitogens, RE cell supernatant and/or rIL-2.

The biological activity of rIL-2 was measured prior to the *in vitro* experiments using a 24-hour bioassay on IL-2-dependent human splenic lymphocytes and one unit was defined as the amount inducing 50% of maximal proliferation. In thymocyte cultures and proliferation assays IL-2, IL-4 and IL-13 were used at concentrations of 5, 2, 1 and 0.5 units per 2×10^5 cells.

Isolation of thymic nurse cells (TNCs)

I. Gravity sedimentation. Since TNCs, as lymphoepithelial cell complexes, are by many times larger than any other kind of thymic cells, by employing a simple four-step unit gravity sedimentation procedure *via* fetal calf or adult human serum (both from Gibco Labs., Chagrin Falls, OH, USA) the TNC were easily isolated from the thymic cell suspension.

II. Enzymatic tissue digestion. The thymic and another tissue homogenate were transferred (2-3-mm² tissue pieces) into a trypsinization bottle, containing 50 ml cold (4°C) PBS and agitated

for 10 minutes. The supernatant contained a large number of cortical thymocytes and was discarded or used for thymocyte cultures. The sedimented fraction was resuspended in 50 ml prewarmed solution of 0.25% trypsin-ethylenediamine tetraacetic acid (EDTA) (Gibco), 0.5 mg/ml dispase/collagenase (Boehringer/Mannheim Biochemical, Indianapolis, IN, USA) or 0.5 mg/ml collagenase IV (Millipore Corporation, Bedford, MA, USA) and 2 ml DNAase (Boehringer/Mannheim). The enzymatic digestion was carried out at 37°C in an incubator for 20 minutes. This procedure was repeated 3-4 times until complete disintegration of the typical thymic tissue structure was accomplished. After each time, collection of the dissociated cells was possible. This cell suspension, containing RE cells, TNCs and HBs, was pooled in 3 ml cold (4°C) D-MEM, supplemented with 5% heat-inactivated human serum (AB blood group, Rh negative), 1% l-glutamine (Boehringer/Mannheim), 1% MEM (Gibco), 1% sodium pyruvate (Flow Labs., Mclean, VA, USA), 1% hepes buffer (Biofluids Inc., Rockville, VA, USA) and 0.05 mg/ml gentamycin sulfate (Sigma). The suspension was gently layered over 10 ml undiluted, heat-inactivated human serum in a 40-ml conical, glass centrifuge tube for the TNC enriching procedure by sequential sedimentation at unit gravity for 15 minutes. The collected sediment was resuspended in 0.7 l complete D-MEM and overlaid over 5 ml human serum for second sedimentation in 12 ml-plastic tube (Falcon) for an additional 10 minutes. This last step was repeated and resulted in an enriched to 80% presence of TNCs. The enclosed TNC-Thy were released by mild sonication or simple overnight incubation.

Thymic stromal cell (RE & DC) cultures.

1. Whole organ cultures: 2-3-mm² pieces of thymic tissue were put *in toto* in organ culture, without previous enzymatic tissue digestion, using enriched D-MEM medium. The thymocytes disappeared from the culture within 3 weeks if the medium was not supplemented with rIL-2. The medium was replenished every 2-3 days depending on cell count and pH. The tissue cultures were grown in an incubator at 37°C with a gas mixture of 5% carbon dioxide, 5% oxygen and 90% nitrogen.

2. The loose thymic tissue fragments remaining after enzymatic digestion were also placed in tissue cultures using enriched D-MEM medium and the same *in vitro* conditions as mentioned above.

3. The isolated TNCs, following morphological examination and release of the enveloped TNC-Thy, were also cultured.

4. During enzymatic tissue digestion, other thymic cellular elements were also isolated. HBs, DCs and stromal accessory cells were cultured following microscopic evaluation. These cultures were used as controls to detect optimal *in vitro* conditions to obtain pure RE cell monolayers. The thymic RE cell cultures were highly susceptible to overgrowth by always present thymic fibroblasts. Repeated treatment with 0.02% EDTA (Pharmacia) diluted in PBS resulted in pure RE monolayers, expressing cytoskeletal proteins of an epithelial nature.

Culture supernatants were collected daily for one week and used to culture autologous thymocytes immediately or stored at -70°C prior to use. The cultures were observed daily, with a tissue culture microscope (Leitz, FRG) and representative fields were photographed.

Proliferation assay (PA) for thymocytes and peripheral blood hematopoietic cells. As was mentioned above, $2-5 \times 10^5$ /ml cortical thymocytes or enriched peripheral blood CD34⁺ were cultured in RPMI 1640 medium, supplemented with various combinations of

growth factors (including T lymphocyte growth factor), mitogens, a variety of colony stimulation factors and cytokines. In some experiments RE cell culture supernatant was added. For PA 96-well (Falcon), flat-bottomed culture plates were used. 0.2 ml thymocyte triplicates from every experimental condition were pulsed with 1 μ Ci/well of 3H-TdR (New England Nuclear, Boston, MA, USA). The assay required use of a tissue culture incubator at 37°C in humidified air, containing 5% CO₂, for 4 hours. Cell harvesting onto glass fiber filters was performed using MASH harvesting equipment. The amount of 3H-TdR incorporation within the thymocyte DNA was quantitated using a beta scintillation counter.

Transmission electronmicroscopy (TEM) of cultured thymic medullary cells (RE, DC, including LC, & IDC) and macrophages. The cultured DCs, RE cells and macrophages after a short time *in vitro* stay were attached to the culture dish surface, forming a monolayer. They were removed using gentle digestion with collagenase IV (Millipore Corp., Bedford, MA, USA) for 5 minutes at 37°C, followed by pelleting at 600-800 rpm for an additional 5 minutes. The cell pellets were fixed in 3% glutaraldehyde (Fischer Scientific), diluted in 0.1 M Sorenson's buffer at pH 7.2 for one hour at room temperature (20-23°C), followed by three washes in phosphate buffer. Postfixation was performed with 1% solution of osmium tetroxide for 30 minutes, followed by washing in 0.9% NaCl for another 30 minutes. Staining "*en bloc*" with 0.5% uranyl magnesium acetate, diluted in 0.9% NaCl for one hour in dark, at 4°C, followed by washing in saline for an additional 30 minutes. After dehydration in ascending concentrations of ethanol, the tissue microcultures were embedded in Epox (E.F. Fullam, Schenectady, NY, USA). Ultrathin sections were stained secondarily with uranyl acetate and lead citrate and were examined and photographed under a Siemens Elmiskop IA, transmission electronmicroscope at 80 kV. The cell types were identified by their TEM nuclear and cytoplasmic characteristics.

Scanning electronmicroscopic (SEM) procedure for tissue samples. Small portions (1-2 mm²) of thymic tissue were fixed for 2-4 hours in 2.5-4% glutaraldehyde diluted in 0.1M phosphate buffer at room temperature, and at 4°C in a regular refrigerator. Postfixation was carried out employing 1% OsO₄ diluted in phosphate buffer (kept in dark). After three washes in phosphate buffer, dehydration was carried out in ascending dilutions of ethanol. After processing in the critical point dryer (CPD), sputter coating was performed. Scope and photography of the thymic tissues was carried out with a JEOL-JSM-35C Scanning Electronmicroscope (SEM) at 380 to 60,000 times magnifications.

Immunocytochemistry. Antibodies. A well-chosen library of monoclonal antibodies was employed to observe the maturation level and differentiation pathway of thymocytes and T lymphocytes and to identify the IP of stromal RE, DCs and accessory cells of the thymic microenvironment. In this way we were able to characterize the professional, specialized for antigen presentation, intrathymic cell types and the IP changes during maturation of thymocytes into immunocompetent T lymphocytes.

The following antibody library was employed in our immunocytochemical observations on human and other vertebrate thymuses:

1) anti-endocrine and anti-epithelial: A₂B₅, Thy-1 and anti-epithelial membrane antigen (EMA);

2) hematopoietic: CLA (anti-HLe-1 directed against a 200-220 kD cell surface receptor), Leu-2/a (against CD8⁺, cytotoxic/suppressor T-lymphocytes), Leu-3/a,3/b (for identification of CD4⁺, helper lymphocytes), anti-CD34, Leu-7, Leu-11/b, Leu-14, Leu-19, Interleukin-2 receptor (IL-2R) and Leu-M5 (for monocytes/macrophages);

3) MHC molecules: HLA-A,B,C, HLA-DR and HLA-DP;

4) anti-thymic stromal cells: TE-3, TE-4, TE-7, TE-8, TE 15, TE 16 and TE 19 (developed in the laboratory of Dr. Haynes and provided to us);

5) intermediate filaments: vimentin, S-100 protein, MAP-1 (microtubules), MAP-2, MAP-5, cytokeratins 13 and 18, desmosomal cytokeratin, AE1, AE2, AE3, AE5, and AE8;

6) tumor markers: B18.7.7 and D14 (anti-carcinoembryonic antigen (CEA));

7) oncogenes and related protein products: anti-c-erbB-2, anti-c-erbB-3, anti-c-myc and anti-c-ras;

8) cell cycle related cyclins, proliferation markers and DNA repair related proteins: anti-p53, Ki-67, anti-p34^{cdc2}, anti-cyclin A and anti-cyclin D; and

9) growth factors: anti-TGF- β type II receptor (TGF- β IIIR).

I. Immunoalkaline phosphatase antigen detection technique. We used the following immunoalkaline phosphatase cytochemical method, modified by us for antigen detection in formalin-fixed, paraffin-wax-embedded thymus tissues. The technique has been determined to be a highly sensitive, indirect, four-to six-step immunocytochemical method, which combines the biotin-streptavidin based ABC-method with enzyme-linked (alkaline phosphatase) immunohistochemistry. Briefly, following deparaffinization in three changes of xylene substitute (Shandon-Lipshaw, Pittsburgh, PA, USA) for 20 to 30 minutes, rehydration was carried out employing descending dilutions of alcohol (100% to 50%) to TBS. An initial blocking step using 1% glacial acetic acid mixed with the working buffer for 10 minutes was necessary to eliminate the endogenous AP activity from the tissues. Use of levamisole solution is also described in our earlier observations. As we explained earlier in our papers, GAA inhibition was preferred because of the possible presence of levamisole-resistant AP iso-enzyme. The second blocking step was conducted with a purified mixture of proteins (Shandon-Lipshaw) from various species for 5-10 minutes to block cross-reactive antigenic epitopes. Excess serum was removed from the area surrounding the sections. The tissue sections were then incubated for 90-120 minutes with the particular primary antibody. Next, incubation with the secondary antibody, which was either a biotinylated, whole goat anti-rabbit or goat anti-mouse IgG molecule (IgG molecule diluted by ICN Biomedicals, Inc., Aurora, OH, USA), was carried out for 20 minutes. Streptavidin conjugation was accomplished by incubation with AP conjugated streptavidin for 20 minutes. Color visualization of the primary antigen-antibody (Ag-Ab) reaction was accomplished with an alkaline phosphatase (AP) kit I (Vector Laboratories, Burlingame, CA, USA) which contains AS-TR with Tris-HCl buffer at pH 8.2, added for 28-40 minutes to allow formation of a stable red precipitate. Sections were counterstained with a diluted solution of Gill's hematoxylin (Richard-Allan, Kalamazoo, MI, USA). The tissue slides were then dehydrated in ascending concentrations of alcohol (60% to 100%) to xylene substitute (Shandon-Lipshaw), in which they were kept overnight to ensure complete morphological clearing. The stained tissue sections were mounted using a solution specially designed for use following morphological clearing in xylene substitute (Shandon-Lipshaw).

II. Immunoperoxidase antigen detection technique. The following ABC method was employed for the immunocytochemical detection of antigens in thymus specimens. Briefly, following deparaffinization in three fresh changes of xylene substitute (Shandon-Lipshaw), a tissue rehydration employing descending grades of alcohols (100% to 40%) to PBS was performed. The tissue sections were never allowed to dry-out before being moved to the next solution. After 30 minutes incubation with 0.6% H₂O₂ in methanol to block the endogenous H₂O₂, a good rinse with running tap water and PBS for 2-8 minutes was performed. The second blocking step required 5 minutes incubation in non-specific protein mixture solution (Shandon-Lipshaw). The incubation time with the primary MoABs, as with any primary antibody, depended on the developers instructions, but it was usually between 60 to 120 minutes at room temperature. Next, we applied the secondary antibody for 20 minutes (on paraffin-wax sections, the whole anti-rabbit or anti-mouse IgG antibody was used as secondary antibody directed against the IgG of the original species of the primary antibody), followed by incubation for 30-40 minutes in 8 to 10 drops of the streptavidin/biotinylated horseradish peroxidase H complex (ABC). The binding of the biotinylated antibody to streptavidin/peroxidase complexes occurs with an extremely high affinity (10⁻¹⁹M) (BioWhittaker, Inc., Walkersville, MD, USA). Color visualization of the primary Ag-Ab reaction was accomplished using diaminobenzidine (DAB) or amino-ethyl-carbazol (AEC). The brown color was enhanced with copper sulfate and diluted 1:100 Gill's hematoxylin (Richard-Allan) was used for gentle nuclear counterstaining. After the employment of DAB solution and the appropriate counterstain, the tissue slides were dehydrated, cleared and mounted in a manner identical to that described above.

Controls in immunocytochemistry. To accurately assess the specificity of the T lymphocyte differentiation antigens and MHC class I and II antigens observed in this study, we investigated the immunoreactivity of several normal human tissues: adult and fetal thymic, tonsil, spleen, thyroid, lung, liver, kidney, heart, pancreas, ovary, prostate, small intestine, large intestine and brain tissue sections, all included in one multitissue block (DAKO Corporation, Lot: 5935B). Additional controls for all employed MoABs included: 1) omission of the primary MoAB; 2) using only the enzymatic developer solution to detect the presence of endogenous peroxidase or alkaline phosphatase activity; and 3) use of MOPC 21 mouse myeloma IgG₁ (ICN Biomedicals, Inc.) as a replacement for the primary MoAB to determine non-specific myeloma protein binding to the antigen epitopes of the screened tissues.

Evaluation of the immunoreactivity. Qualitative and quantitative evaluation of the percent of antigen-positive cells and the intensity of immunostaining were conducted using a light microscope (Olympus America, Inc., Melville, NY, USA) counting 100-200 cells from each of five to eight distinct areas in non-necrotic thymic and positive and negative control tissues. Artifacts were avoided, while, on the other hand, morphologically characteristic areas were sought out.

Quantitative evaluation: (+++++) over 90% of the total cell number are positive; (++++) 50% to 90% of the total cell number are positive; (++) 10% to 50% of the total cell number are

positive; (+) 1% to 10% of the total cell number are positive; (±) under 1% of the total cell number are positive; (-) negative.

Qualitative evaluation: (A) very intense red/brown staining; (B) strong red/brown staining; (C) light red/brown staining; (D) negative staining.

The significance of DCs in anti-neoplastic immunotherapy. The growth and metastatic spread of neoplasms, to a large extent, depends on their capacity to evade host immune surveillance and overcome host defenses. All tumors express antigens that are recognized, to a variable extent, by the immune system, but in many cases an inadequate immune response is elicited because of partial antigen masking or ineffective activation of effector cells (155,156). Tumor Associated Antigens (TAAs) presented in the context of MHC class I complexes on either the neoplastic cell itself or on antigen-presenting cells are only inducing immunological tolerance but not the production of CAA-specific cytotoxic T lymphocytes (127). The presence of co-stimulatory molecules, such as B7-1 and B7-2, on antigen-presenting cells and the secretion of IL-2 promote the differentiation of recruited CD8⁺ lymphocytes into cytotoxic T lymphocytes (157).

Dynamic and permanent changes in the IP of cells following their neoplastic transformation have been explored in numerous immunocytochemical studies (158-164). Expression of novel antigens not usually expressed in the surrounding normal cells and re-expression of developmental antigens has also been well established. Many further alterations in the physiology of cells following neoplastic transformation are contained within the cytoplasm and nucleus of the cell itself, thus making molecules associated with such changes less than ideal targets for immunotherapy. Furthermore, these regulatory molecules are of quite low immunogenicity due to the fact that their presence usually represents more of a quantitative dysregulation than a qualitative one as such antigens are components of normal cells. The down-regulation of major histocompatibility complex (MHC) molecule expression and antigen processing in such cells has also been described. The establishment and maintenance of a humoral milieu unfavorable for *in situ* immune activation and neoplastic cell lysis poses yet another difficulty. Neoplastic cell escape from the host's immune effectors is most often caused by weak immunogenicity of TAAs, antigen masking, or overall immunosuppression, a characteristic of advanced stage neoplastic disease. Failure of antigen processing or binding to MHC molecules, inadequate or low-affinity binding of MHC complexes to T lymphocyte receptors, or inadequate expression of co-stimulatory adhesion molecules in conjunction with the antigen-presenting MHC complex may all lead to poor immunogenicity of neoplasm-associated peptides and impaired antitumor response (109,165,166). Neoplasm induced defects are known to occur in all major branches of the immune system (167). Antigen presentation to T lymphocytes appears to be one of the steps that is highly deficient in a number of human neoplasms, thereby making it that much more difficult to generate specific cellular mediators of the host anti-neoplastic immune response (168).

DCs are crucial orchestrators of the adaptive immune response (169). Antigen presentation is a very important regulatory element for the induction of cellular immune responses, which is why one of the main goals of tumor immunotherapy is to control and enhance tumor antigen presentation (170). Thus, the basic immunobiology

of DCs (171) is still being widely investigated to allow the development of effective DC-based immunotherapy protocols for the treatment of human malignancies (172,173).

Efficient MHC class I and II presentation is developed when antigens are synthesized endogenously by the DCs. This is fully achieved in patients with acute or chronic myelogenous leukemia which, upon cytokine stimulation, undergo cellular differentiation into DCs that contain the full repertoire of neoplastic antigens (174-176). In other neoplasms, desired immunotherapy antigens must be delivered to the DCs *ex vivo*. The identification of a large number of CAAs has suggested new possibilities for more effective, individualized antineoplastic immunotherapy. However, multiple mechanisms may contribute to the ability of tumors to escape antitumor immune responses. Tumor antigen heterogeneity, modulation of HLA expression and immune suppressive mechanisms may occur at any time during tumor cell progression and can affect the outcome of therapeutic immune intervention. In particular, the appearance of altered HLA class I phenotypes during the progression of neoplasms may have important biological and medical implications due to the role of these molecules in T and NK cell functions. Exhaustive tumor tissue studies are necessary before deciding whether a particular patient is suitable for inclusion in T cell-based immunotherapy protocols. However, immune suppressive mechanisms may occur at any time during neoplastic cell progression and can affect the outcome of immunotherapeutic intervention (177). To overcome this deficit, it is possible to employ "professional" antigen-presenting DCs. DCs can be purified from the spleen, bone marrow and peripheral or cord blood. This *in vitro* propagation of tumor-specific CTLs is hampered by the necessity for large amounts of professional APCs used for periodical cycles of restimulation (178). The principle of the treatment is to prime the purified DCs with CAAs and to reinject them into the neoplasm-bearing patient. The sensitization to the CAAs could be obtained employing the crude extract of neoplastic cells or purified antigen, which will lead to MHC class II restricted antigen presentation to CD4⁺ T lymphocytes (155). Unfortunately these peptides have rapid turnover on the surface of DCs and their efficacy is subsequently limited (179,180). Recently, several computer-assisted approaches have been developed to predict CTL epitopes within larger protein sequences based on proteasome cleavage specificity. The availability of such programs, as well as a general insight into the proteasome-mediated steps in MHC class I antigen processing, provides us with a rational basis for the design of new anti-neoplastic T lymphocyte vaccines (151).

Survivin is present during embryonic and fetal ontogenesis, but it is down-regulated in normal adult cell and tissues, and has dual effects: antiapoptotic and as a regulator of the cell cycle. However, it becomes re-expressed during oncogenesis. Almost all types of neoplastic cells contain survivin, a TAA and member of the inhibitor of the apoptosis protein (IAPs) family, considered to play a pivotal role in early oncogenesis (overexpressed during G(2)/M-phase in wide variety of neoplastically transformed cells). The expression (detection) of survivin (almost exclusively in proliferating neoplastic cells) is considered an important prognostic factor of many human neoplasms. Therefore, survivin is an attractive target for the development of broadly applicable tumor vaccines (181). Interestingly, the authors revealed that up-regulation of survivin by DCs was carried out upon stimulation with TNF-alpha.

Employment of neoplastic cell-derived exosomes (cell secretory compartment), a defined source of tumor rejection antigens

released in membrane vesicles, for vaccination could be the most efficient approach (182). Exosomes transfer a number of neoplastic cell-specific antigens to DCs and induce peptide-specific, MHC class I restricted presentation to T lymphocytes clones and induce neoplastic antigens specific CTL responses in cancer patients.

Dendritic cells with a MHC class II⁺/CD1a⁺/CD3⁺/CD14⁺/CD20⁻ membrane IP (typical for DCs) can be isolated from peripheral blood mononuclear cells (PBMC) *in vitro* by the addition of IL-4 and GM-CSF (183-185). Interleukin-13 (IL-13) is as effective as IL-4 and, combined with GM-CSF, influences the differentiation pathway of DCs in a comparable manner. Human mature DCs have also been generated in large numbers *in vitro* by culturing CD34⁺ hematopoietic progenitors in GM-CSF and tumor necrosis factor- α (TNF- α) enriched medium for 12 days (166,186). On days 5 to 7, the DC progenitors differentiated into two subsets (CD1a⁺ and CD14⁺) in tissue culture, both of which matured between days 12 and 14 into cells with a typical DC morphology and IP: CD80, CD83, CD86, CD58 and high HLA class II expression. CD1a⁺ progenitors give rise to LCs characterized by the presence of typical Birbeck granules and the expression of Lag antigen and E-cadherin. In contrast, CD14⁺ progenitors mature into DCs lacking Birbeck granules and the other two LC markers, but expressing CD2, CD9, CD68 and the coagulation factor XIIIa, described in dermal DCs (187). Both mature DCs have been shown to be equally efficient in stimulating allogeneic CD45RA⁺ naive T lymphocytes. CD14⁺ progenitors are bipotent in nature, as demonstrated by their ability to differentiate into macrophage-like cells, lacking accessory function to T lymphocytes in response to M-CSF. Strobl and co-investigators (188) have recently reported that the factors necessary for DC growth *in vitro* are poorly characterized and that the cytokine combination of GM-CSF and TNF- α , and stem cell factor (SCF) in the absence of serum supplementation, is inefficient in inducing DC maturation (differentiation). The authors further demonstrated that transforming growth factor- β 1 (TGF- β 1) supplementation is required for substantial DC development in the absence of serum. Thus, CD34⁺ (best known as an endothelial cell marker (189)) stem cells in serum-free conditions required the following cytokine combination for differentiation into DCs: GM-CSF + TNF- α + SCF + TGF- β . A significant number (21% \pm 7%) of DCs grown in TGF- β 1 supplemented, but not plasma supplemented, medium showed presence of Birbeck granules and their marker, the Lag molecule. The presence of TGF- β 1 in the culture medium also diminished the number of cells with monocytic features. Human DCs, with an 80-85% purity, were also isolated from peripheral blood mononuclear cells (PBMCs) by negative selection for T lymphocytes, B lymphocytes, NK cells, monocytes and granulocytes (190,191).

Passive immunotherapeutic interventions involve efforts to augment the neoplastic cells' antigenicity through vaccination with immunogenic peptides, administration of tumor infiltrating immune effector cells *in vitro* expanded and activated, *in vivo* effector cell expansion with cytokine therapies, or genetic modification of either immune effectors or neoplastic cells with cytokine genes or genes encoding costimulatory molecules to effectively activate the immune response (192-196). A variety of adoptive cellular strategies, aimed at boosting the patient's immune system, have been tested in the management of human neoplastic diseases. Despite the drawbacks associated with *ex vivo* cell manipulation and upscaling, several such approaches have been assessed in the clinic (197,198).

The disclosure of the human genome sequence and rapid advances in genomic expression profiling have revolutionized our knowledge about molecular changes in neoplastic diseases (199). Rapidly growing gene expression databases and improvements in bioinformatics tools set the stage for new approaches using large-scale molecular information to develop specific therapeutics in cancer (200). On the one hand, the ability to detect clusters of genes differentially expressed in normal and malignant tissue may lead to widely applicable targeting of defined molecular structures. On the other hand, analyzing the "molecular fingerprint" of an individual neoplasm raises the possibility of developing customized immunotherapeutic regimens. One approach to using the emerging new datasets for the development of novel therapeutics is to identify genes that are specifically expressed in neoplasms as targets for immune intervention employing the method of "reverse immunology" for the screening of potential candidate genes by bioinformatics in order to identify the immunogenicity of candidate CAAs (199). Gene transfer can also be employed in the case of a cloned antigen (like the co-stimulatory molecule B7.1) and would lead to the MHC class I restricted priming of CD8⁺ CTLs (178). Unfortunately, following gene transfer, the efficacy of transduction is still very low, but with an increase in our understanding, this difficulty has the possibility of being overcome. Re-injection of DCs primed with neoplastic cell lysate leads to the protection of mice against a tumor challenge. The mode and place of administration, the nature of employed DCs and the technology of sensitization may all depend on the malignancy and metastatic potential.

What kind of whole tumor cells, apoptotic or necrotic, are more efficient for tumor vaccines? A group of scientists in Hannover, Germany, compared the effect of apoptosis *versus* necrosis on the effective antigen presentation by DCs, employing various tumor models (201). Their experimental data determined that only apoptotic whole tumor cell vaccines were capable of inducing a DC-mediated, potent antineoplastic immune response. In contrast, necrotic cell vaccines produced a strong, localized macrophage response.

Gene-engineered DCs are currently being tested in antineoplastic immunotherapy throughout the world. Genetic immunotherapy with DCs can also be engineered to express tumor antigens, having the potential advantages of endogenous epitope presentation by both MHC class I and II molecules. Another advantage of using DCs is that DCs can be gene-modified to express immunostimulatory molecules that further enhance their antigen-presenting function (132).

Numerous routes to employing DCs for antineoplastic immunotherapy are being tested, ranging from direct *in situ* expansion and activation of DCs to adoptive transfer of *ex vivo*-generated DCs. Numerous techniques have also been designed to optimize DC maturation and their migratory abilities, for effective tumor antigen delivery to DCs, and induction of tumor-specific as well as, helper immune responses, *in vivo*. However, the results of recent preclinical studies and the diversity of the clinical phase I trials that are currently underway indicate that still little is known about the exact mechanisms by which DCs modulate tumor immunity. This lack of knowledge brings with it the concern that premature clinical trials might not yield the desired results and might even be harmful to, rather than promote, the concept of DC-based tumor immunotherapy.

DCs have also been used to help improve the efficacy of tumor vaccines (202). Certainly we are still far from the "ideal vaccination" which should be cell free, employing immunorelevant,

neoplasm-specific antigens, regardless of patients' HLA haplotype and the production should allow large volume manufacturing. Recently clinical investigators have developed genetically-modified tumor cell vaccines (203,204). Irradiated tumor cells transduced with and expressing various cytokines, such as IL-2, IL-6, IL-12, lymphotactin, IFN- γ , or GM-CSF, or co-stimulatory molecules, such as B7-1, were capable of eliciting their trafficking into lymph nodes and their interaction with T lymphocytes (205-207). These gene-modified DC vaccines also produced a direct regression of pre-existing neoplasms, thereby curing the experimental animals. Induction of strong immune responses in neoplasm-bearing animals against non-immunogenic or weakly immunogenic malignancies supports the research view that active immunization of cancer patients deserves further consideration.

Another possibility to ensure endogenous antigen synthesis has been to fuse DCs to neoplastically transformed cells. Gong and co-workers (208) demonstrated that murine DCs fused to colon cancer cells enhanced the formation of neoplasm-specific CTL both in *in vitro* and *in vivo*. In a recent experimental study, a transgenic murine model expressing polyomavirus middle T oncogene and mucin 1 TAA was used to determine the preventive effect of a vaccine containing DCs fused to breast carcinoma cells (209). The animals developed mammary carcinoma between the ages of 65 to 108 days with 100 percent penetrance. No spontaneous CTLs were identified. The prophylactic vaccination of these genetically modified mice induced polyclonal CTL activity and rendered 60 percent of the animals free of neoplastic disease by the end of the 180 days experiment. These results indicate that prophylactic vaccination with dendritic/tumor fusion cells is capable of inducing sufficient anti-neoplastic immunity to counter the process of oncogenesis of powerful oncogenic products. A similar type of tumor vaccine was successful in the prevention of human cervical cancer (210). The fusion cell vaccine experimental approach has been applied in several other antineoplastic immunotherapy protocols, including the immunoprevention of colorectal cancer, confirming the immunogenicity of such tumor vaccines (211,212).

In vivo DCs are found in a number of tissues and reside in direct proximity to extracellular matrix proteins (213-215). Since extracellular matrix proteins affect differentiation and location of cells in tissues, a number of research observations have investigated potential effects of extracellular matrix proteins on differentiation and maturation of dendritic cells. DCs were isolated and enriched from CD34⁺ human cord blood stem cells in the presence of GM-CSF and tumor necrosis factor (TNF)- α for 6-days and subsequently cultured for an additional 6-days period on tissue culture plates coated with various extracellular matrix proteins. Among the extracellular matrix proteins tested, exposure to fibronectin stimulated DC/LC cell differentiation as indicated by the 50% increase in the number of cells expressing the Birbeck granule-associated marker Lag and displaying numerous Birbeck granules. Adhesion on fibronectin was shown to be specifically mediated by the integrin $\alpha 5\beta$. Because laminin and collagen were unable to cause similar changes in LC development, these results suggest that fibronectin may cause changes affecting cellular differentiation of progenitors. Hematopoietic progenitors may exhibit maturational regulated differences in response to both matrix molecules and cytokines.

Human cord blood, CD34⁺ progenitors cultured in the presence of GM-CSF and TNF- α generate a heterogeneous population of DCs including Langerhans-like DCs (LLDCs) and monocytes

(216). The authors noticed that IL-4 exerts different effects in cultures according to the cells considered. Thus, IL-4 favors DC components at the expense of monocytic development and permits long-time persistence of DCs, that can be maintained up to one month in culture. These results show an IL-4-dependent inhibition of proliferation and emergence of CD14⁺ cells. Notably, however, IL-4 also acts on the DC precursors. Thus, IL-4 enhances survival and delays maturation of LLDCs from CD1a⁺ CD14⁻ precursors. In addition, IL-4 also favors orientation of CD14⁺CD1a⁻ DC/monocyte precursors towards dermal-type CD1a⁺ DC. DCs recovered from IL-4-treated cultures displayed reduced allostimulatory capacity, but this function was restored upon IL-4 weaning. A significant discovery suggested that a short (48hour) IL-4 pulse is sufficient to favor DC development. These *in vitro* experiments demonstrate that IL-4 positively regulates DC development at several levels on distinct precursor cells.

DC-based tumor vaccines in clinical trials. DC-based immunotherapy was employed in clinical trials in melanoma, lymphoma, myeloma, renal and prostate cancer patients (217-221). Numerous clinical trials testing DC-based vaccines against neoplasms are in progress and partial clinical efficacy has been already proved. In some cases, bone marrow-derived DCs have been employed to treat established experimental neoplasms by unleashing a cellular immune response against TAAs (222). An *ex vivo* gene transfer technique with viral and non-viral vectors provided such antigens into DCs' antigen-presenting molecules. This gene transfer technique is often used to obtain expression of TAAs and, hence, used thereby to formulate the antineoplastic immunotherapeutic vaccines. The efficacy of the approaches is greatly enhanced if DCs are transfected with more than one gene encoding immunostimulating factors. In some cases, such as with IL-12, IL-7 and CD40L genes, injection inside experimental malignancies of thus transfected DCs induces complete tumor regression in experimental animal models. In this case, TAAs are captured by DCs by still unclear mechanisms and transported to lymphatic organs where productive antigen presentation to T lymphocytes takes place. Transfection of genes will further strengthen the immunogenicity of CAAs and the antigen presentation efficacy of DCs. New immunotherapeutical strategies will soon join the clinical research being conducted.

Because of cases mostly resistant to chemotherapy, renal cell carcinoma (RCC) has been a testing ground for immunotherapy for decades (223,224). The approval of IL-2 for immunotreatment of RCC was a landmark "proof of principle" showing that agents working solely *via* the immune system can cause long lasting neoplasm remission. *In vitro* strategies to expand and load DCs with antigens have now led to human vaccine trials in RCC (at the University of California at Los Angeles, a phase 1 clinical trial) and a number of other malignancies.

Malignant lymphomas (MLs) are clonal neoplasms of lymphatic origin. By definition, all cells of the malignant clone have undergone the same rearrangement of antigen receptor genes and express identical antigen receptor molecules (immunoglobulin for B lymphocytes, T cell receptor for T lymphocyte MLs). The hypervariable that stretches within the variable regions of these receptors are considered true tumor-specific antigens ('idiotypes') (225). In several animal models, protective humoral or cellular immunity was induced against the ML by vaccination with the neoplasm-derived idioype. Successful experimental immunization strategies in animals include idioype protein vaccines combined with

various adjuvants, genetically-or immunologically-modified ML cells, idioype-presenting DCs, idioype-encoding viral vectors and DNA immunization. Firm evidence for the induction of lymphoma-specific immunity has also been obtained from human idioype vaccination trials. Furthermore, some trials have provided strong but hitherto formally unproven evidence for the clinical benefit of idioype-vaccinated patients. Alternative vaccination approaches are based on immunologically-modified neoplastic cells. Future research efforts should involve efforts to identify the most efficacious vaccination route, on definitive proof of clinical efficacy, and on the development of new protocols to produce individually designed idioype vaccines.

Over the last decade, the incidence of malignant melanomas (MMs) has been continuously increasing worldwide (226). Surgery is the treatment of choice in the early stages of primary lesions. Advanced MM, however, is resistant to chemotherapy and radiotherapy. Therefore, there is an essential need for new, possibly more effective treatments. In the last few years, biotherapy such as immunotherapy has been receiving quite a bit of attention. Unfortunately, systemic administration of immunostimulatory factors is very often associated with severe side-effects. Thus, concepts of specific immunotherapies, such as immunogene therapy, have been developed. Currently, various gene therapy strategies of MM are being evaluated in multiple clinical trials carried out all over the world (217,227). As was mentioned before, the new tendencies include gene-modified tumor vaccines (GMTV), modified with genes encoding cytokines or co-stimulatory molecules and DCs modified with genes encoding CAA or immunostimulatory factors. Since January 1996, in the Department of Cancer Immunology USOMS, (at Great Poland Cancer Center in Poznan, Poland), a GMTV has been tested in MM patients. More than 220 patients were enrolled in this study of GMTV consisting of melanoma cells modified with genes encoding IL-6 and its agonistic soluble receptor (sIL-6R). More than 25% of the patients were observed to have objective clinical responses and significant life extensions. The encouraging results formed the basis for design of a phase III prospective, randomized clinical study.

Conclusion

Dendritic cells are the most effective, "professional" antigen-presenting cells that capture antigens in the periphery, migrate centrally and present the processed antigens in the context of MHC and appropriate co-stimulatory molecules to T lymphocytes for the initiation of an immune response.

Dendritic cells and probably the thymic reticulo-epithelial (RE) cells are capable of performing important immunoregulatory functions by presenting antigens in the form of peptides bound to cell-surface MHC molecules to T lymphocytes. It is a fact that the intimate events of intrathymic T lymphocyte maturation regulated by DCs and other professional APCs support the action of the various putative thymic hormones, driving the immature thymocytes (prethymocytes) to a stage of maturity.

Antigen presentation is a critical regulatory element for the induction of cellular immune responses. Therefore, one of the principal current goals of anti-neoplastic immunotherapy is to control and enhance tumor antigen

presentation. In this respect, DCs are now being widely investigated as immunotherapeutic agents for the treatment of disseminated malignancies.

During the progression of neoplastic disease and the constant IP changes of tumor cells, the appearance of altered HLA class I phenotypes may have important immunobiological and immunotherapeutical implications due to the role of these molecules in T and NK cell functions.

The fundamental immunobiology of DCs is still being widely investigated to allow the development of effective DC-based immunotherapy protocols for the treatment of human malignancies. In the last decade, experimental protocols for *in vitro* growth and maturation of large quantities of DCs from their CD34⁺ bone marrow-derived hematopoietic stem cells have been carried out employing several lineage-producing cytokine cocktails. This strategy enables the generation of functionally mature DCs, even from advanced neoplasm patients whose antigen presentation is suppressed by neoplasm derived molecules. The ability to culture autologous DCs *ex vivo* has influenced the development of protocols for genetically engineering them. Thus, the era of antigen directed, active, specific immunotherapy is born.

There is scientific evidence showing that DCs and thymic RE cells share surface receptors that play a crucial role in antigen presentation. There are also tight regulation connections between the neuroendocrine and the immune system that should be investigated in the process of antigen presentation. Without neuroendocrine regulation, there is no proper immune function. Neuroendocrine regulation is currently not encompassed in the tumor vaccine design. It is suggested that future research into the neuroendocrine question could improve the quality of DC-based tumor vaccines.

In vitro strategies to expand and load DCs with TAA antigens have now led to human vaccine trials in renal cell carcinoma and a number of other malignancies such as malignant melanomas, breast carcinomas and lymphomas. We are sure that new immunotherapeutical strategies and protocols will soon join the clinical research being conducted worldwide.

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